

RULES RELATING TO BETTING TRANSACTIONS IN VICTORIA

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1. INTERPRETATION

1.1. In these Rules, if not otherwise inconsistent with the context:

"Account Card"	means a card or such other like device as may be provided by the Betting Operator for use by an Investor to make an Investment linked to a Betting Account.
"Account Number"	means the number provided to the Investor associated with the Investor's Betting Account, and which allows the Investor to place Investments via the Internet Betting System.
"Act"	means the <i>Gambling Regulation Act 2003</i> (Victoria), and regulations made thereunder, as the same may be amended from time to time, and any successor legislation and regulations which may be made from time to time for the regulation of Totalisator operations in Victoria.
"Advertised Starting Time"	means the starting time of an Event as advised and or displayed from time to time by the Betting Operator or by the Controlling Body.
"Betting Account"	means a means a facility that allows an identified Investor to establish a Credit Balance to be used for betting purposes by an Investor to make an Investment, the crediting of winnings and which allows deposits and withdrawals by the Investor.
"Betting Account Centre"	means a betting account centre of the Betting Operator.
"Betting Account Investment"	means an Investment made against a Betting Account.
"Betting Operator"	means the operator of the wagering and betting licence pursuant to the Act.
"Betting Voucher"	means a betting voucher issued by the Betting Operator.
"Bet Types"	means the types of Investment more particularly described in Rule 3.7.
"Cash Outlet"	means any office at which the Betting Operator receives investments direct from the public via any method listed in Rule 6.3(a).
"Club"	means a racing club, harness racing or greyhound racing club.

"Commission"	means the statutory deduction under the Act to be deducted from the amount paid into a Totalisator and expressed as the maximum commission percentage to be deducted for each bet type, including the International Pooled Bet type in the Totalisator Maximum Commission Deductions and Win Rates Table as referred to in appendix A.
"Competitor"	means any person, team, animal or object that is a competitor in any Event upon which the Betting Operator is accepting Investments and includes a contestant.
"Controlling Body"	means the body or authority controlling the conduct of the Event upon which a Totalisator is operating.
"Credit Balance"	means the monetary value attributed to a Betting Account from time to time comprising the amount deposited to a Betting Account together with the value of all monetary winnings and deposits credited to the Betting Account less the value of all bets and withdrawals debited to the Betting Account.
"Dividend"	means the amount declared to be payable in respect of any Event and includes the unit of investment.
"Double"	means the Daily Double, Feature Double, and Running Double Bet Types.
"EFT"	means Electronic Funds Transfer.
"Electronic Funds Transfer"	means any debit payment system: (a) designated by the Reserve Bank of Australia under Part 3 of the Payment Systems (Regulation) Act 1998 or equivalent legislation; and (b) approved by the Minister.
"Event"	means a race, match, game, round, fight, exercise, sport or sporting competition or any part or parts thereof or contingency nominated by the Betting Operator as being available for selection.
"Field"	means a Selection that denotes all Competitors in an Event at the time the Investment is placed.
"Flexi Bet"	means a bet on a type of totalisator as described in Rule 3.5 where the amount of the investment on each combination covered by the bet is a percentage of the unit of Investment.
"Flexi Bet Enabled"	means a Flexi Bet on Quinella, Exacta, Duet, Trio, Trifecta, First 4, Double, Treble, Quaddie, BIG6, Sport Margins, Sport

Double, Sport Half/Full Double, Sport Quad or Sport Quarter Quad.

“Form Guide”

means a written summary of the previous performances of each Competitor in an Event.

“Formula Number”

denotes the number of Events in which the Selections in a Parlayed Investment must result in a Dividend or Refund in order for the Parlay Investment to be successful. An Investment is known as “All-Up” when the Formula Number is the same as the number of Events selected.

“Gaming Machine”

has the same meaning as in the *Gaming Regulation Act 2003* (Vic) but excludes Self Service Terminals and Keno gaming equipment.

“International Pooled Bet”

means an Investment on a bet type as described in Rule 3.7 where the Betting Operator accepts the Investment and transmits the Investment for inclusion in an International Totalisator Pool for that bet type for the purpose of calculating a dividend (including where the Investment is first transmitted to the National Totalisator Pool and then transmitted by the host of the National Totalisator Pool to the International Totalisator Pool).

“International Totalisator Pool”

means a totalisator pool for investments on a bet type that is hosted by a totalisator operator that is licensed outside Australia.

“Internet Betting Confirmation Screen”

means the screen seeking confirmation from the Investor that the details that the Investor has entered are correct.

“Internet Betting Receipt”

means the acknowledgement from the Betting Operator via the Betting Operator’s Internet Betting System that acknowledges that an Internet Investment has been placed by an Investor.

“Internet Betting System”

means a web-site or smartphone application operated by the Betting Operator which receives Internet Investments.

"Internet Investment"	means an Investment made on a Betting Account via a web-site or smartphone application operated by the Betting Operator.
"Investment"	means an amount of money which has been paid into a Totalisator in respect of any Event at a Meeting.
"Investment Request"	means an offer of an Investment made by an Investor to the Betting Operator.
"Investor"	means any person conducting or attempting to conduct a Transaction at or through a Totalisator Office.
"Leading Team"	means the team that at a designated part of an Event has obtained a progressive score in excess of the opposing team.
"Major Dividend"	means in relation to BIG6 and subject to Clause 15 an Investment containing winning Selections in 6 Events where the percentage of the dividend pool for this Dividend equals 90%.
"Meeting"	means the venue and date upon which any Event upon which the Betting Operator is accepting Investments is conducted.
"Minimum Dividend"	means the Minimum Dividend ascertained in accordance with Rule 16.
"Minister"	means the Minister for the time being responsible for the administration of the Act.
"Multi-leg Pool"	means a Pool across several events - Quaddie, Treble, Daily Double, Running Double and BIG6.
"National Totalisator Pooled Bet"	means an Investment on a bet type as described in Rule 3.7 where the Betting Operator accepts the Investment and the Investment is included in the National Totalisator Pool for that bet type for the purpose of calculating a Dividend (but for the avoidance of doubt does not include an Investment that is an International Pooled Bet).
"National Totalisator Pool"	means a totalisator pool for investments on a bet type established through the commingling of investments made with: (a) the Betting Operator; (b) the totalisator licensed in NSW; and (c) the totalisator licensed in Queensland, which is hosted by any one of those entities (and may also

include investments from other totalisator operators that are commingled with these entities), but does not include where such commingled bets are part of an International Totalisator Pool.

"No Race"

means an Event in which no winners or placings are declared.

"Parlay Investment"

means an Investment in respect of which any resultant Dividend or Refund shall be re-invested in a subsequent Totalisator or Totalisators at the same Meeting in accordance with the Investor's irrevocable instructions given at the time of Investment.

"Parlay Re-investment"

means the amount of Dividend or Refund re-invested in a Totalisator in accordance with an Investor's irrevocable instructions, provided that where the Formula Number denoted by the Investor is "1" the Investment does not result in any re-investment.

"Password"	means the password selected by an Investor to access their Betting Account in the Internet Betting System.
"PIN"	means personal identification number or other means of identification in the form required by the Betting Operator.
"Points Start"	means the number to be added to the team's score for the purposes of assessing the winning team. The Betting Operator may appoint any persons it deems necessary to define the Points Start.
"Pool"	means the amount of money paid into a Totalisator for exactly one Bet Type which, after adjustment in accordance with the Act and after any Refunds are made, is available by way of Dividend to Investors who have made the appropriate Selection on a Totalisator. The Pool may consist of a jackpot pool, a Seeded Jackpot, a Pool Guarantee Shortfall and a dividend pool as set out in Rule 13.
"Pool Guarantee"	an amount to which the Betting Operator agrees to underwrite a Pool; at selected times at the sole discretion of the Betting Operator in accordance with Rule 3.11.
"Pool Guarantee Shortfall"	an amount (if any) the Betting Operator must contribute to a Pool to satisfy the difference between the Pool and the Pool Guarantee, in accordance with Rule 3.11.
"Racing Totalisator"	means a Totalisator conducted pursuant to the Act and for the purposes of these Rules includes Win, Place, Quinella, Trifecta, Exacta, First 4, Daily Double, Feature Double, Running Double, Treble, Quaddie, BIG6, Duet and Trio.
"Refund"	means the amount of an Investment made in a Totalisator that is repayable to an Investor (whether wholly, which is referred to in these Rules as refund of the Investment, or partly, which is referred to in these Rules as refund of the Pool) in accordance with these Rules.
"Regulator"	means the body responsible for regulating the Betting Operator under the Act.
"Rules of Racing"	means the Rules of Racing for the time being in force of the Racing Victoria Ltd, Harness Racing Victoria, or of Greyhound Racing Victoria (as the case may be).
"Seeded Jackpots"	means additional funds in certain Pools, at selected times at the sole discretion of the Betting Operator in accordance with Rule 3.10.

"Score"	means the points sum of goals and points scored.
"Score Range"	means a range of points within which an Investor selects the winning margin of an Event, which Score Ranges are more particularly described in Rule 17.
"Scratching"	means the withdrawal of a Competitor for any reason whatsoever prior to the start of the Event in which it is a Competitor.
"Selection"	means any person, team, animal or object or score range or combination thereof nominated by the Betting Operator and available for an Investor to carry an Investment.
"Self-Service Terminal"	means a terminal supplied by the Betting Operator for the purpose of allowing Investors to make Investments on Events and without limiting the generality thereof includes any licensed computer program or mechanical, electronic or other form of device, contrivance or machine which upon insertion of a PIN, password, Account Card or the like enables the Investor to make Investments.
"Sports Totalisator"	means a Totalisator conducted pursuant to the Act, and for the purpose of these Rules includes Sport Win, Sport Double, Sport Xtra Double, Sport Half /Full Double, Sport Half /Full Xtra Double, Sport Quad, Sport Quarter Quad, Sport Winners and Sport Margins.
"Subsidy"	means in relation to a Pool or part of a Pool the amount that is sufficient to enable the Minimum Dividend to be declared in respect of that pool or part.
"Supplementary Dividend"	means in relation to BIG6 and subject to Clause 15 an Investment containing winning Selections in the first 5 scheduled Events where the percentage of the dividend pool for this Dividend equals 10%.
"Three (or more) Place Dividends Race"	means an Event which has a field of 8 or more Competitors at the time of official Scratchings on the Event, notwithstanding any late Scratching.
"Ticket Serial Number"	means the unique numerical identifier allocated to each Transaction and contained in the Internet Betting Receipt.
"Ticket"	means the printed ticket issued to an Investor by the Betting Operator.

"Totalisator"	means a scheme by which Dividends are calculated on the Betting Operator's computer system on a pari-mutuel basis and, for the purpose of these Rules, includes the Bet Types.
"Totalisator Office"	means any premises operated and/or occupied by or on behalf of the Betting Operator pursuant to the provisions of the Act and includes any Cash Outlet, Self-Service Terminal, Internet Betting System and Betting Account Centre.
"Transaction"	includes "bet", "wager", "deposit", "investment", "refund" and "withdrawal".
"Two Place Dividends Race"	means an Event which has a field of 5-7 Competitors at the time of official Scratchings on the Event, notwithstanding any late Scratching.
"Winning Team"	means the team that is the winner at the conclusion of the Event.

- 1.2. Where the context permits, the singular includes the plural, references to gender or the neuter includes both genders and the neuter and references to persons are to natural persons.
- 1.3. These Rules shall be governed and construed in accordance with the laws of the State of Victoria, Australia.

2. EFFECTIVE DATE, PURPOSE AND APPLICATION OF RULES

These Rules are made on 158 September 2026 and come into operation on 22 September 2026.

- 2.1. The purpose of these Rules is to make provision for the management and control of Totalisators and the conditions under which Transactions will be conducted at or through Totalisator Offices and for matters incidental to the operation of the Act.
- 2.2. A copy of these Rules shall be exhibited in each Cash Outlet in a position readily accessible to the public.
- 2.3. These Rules shall apply to all Transactions conducted at or through a Totalisator Office.

3. GENERAL CONDITIONS RELATING TO TRANSACTIONS AT OR THROUGH TOTALISATOR OFFICES

- 3.1. **APPLICATION OF THE GAMBLING REGULATION ACT 2003 AND RULES OF THE CONTROLLING BODY**

(a) Any Investor, or any person being on any premises at which the Betting Operator accepts Investments, shall be deemed to accept and be bound by the relevant provisions of the Act, copies of which shall be available at the Head Office of the Betting Operator on demand.

(b) Transactions conducted at or through a Totalisator Office are subject where appropriate to the Rules of the Controlling Body of the Event, including the provisions in respect to the entry, acceptance, withdrawal, or disqualification of persons, animals or teams or objects, to the running of races, the conduct of Meetings, to the powers of the stewards appointed by the relevant Controlling Body (including but not limited to the powers of stewards to request the disclosure of information pertaining to Betting Accounts or Transactions) or any other tribunal, and to the procedures governing the operation of the Totalisators.

(c) If the stewards appointed by the relevant Controlling Body request the disclosure of information pertaining to Betting Accounts or Transactions, the Investor shall be deemed for the purposes of the Act to have consented to the Betting Operator providing such information to the stewards.

3.2. PERSONS PROHIBITED FROM INVESTING

(a) Investments made by or on behalf of or deposits lodged by persons under the age of eighteen (18) years will not be accepted.

(b) Dividends, Withdrawals or Refunds will not be paid or made to persons under the age of eighteen (18) years.

(c) No person who is prohibited under or by virtue of the Rules of Racing from entering upon the premises of any racecourse shall enter upon the premises of a Totalisator Office or invest, or through an agent invest, at or through a Totalisator Office.

(d) The Betting Operator may refuse to accept an Investment from any person who in the sole opinion of the Betting Operator is unruly, offensive, drunk or drugged, disorderly or acting to the detriment of the Betting Operator, its customers or its operations.

3.3. ACCEPTANCE, REJECTION AND LIMITATION OF INVESTMENTS

(a) Investments at or through any Totalisator Office will be accepted only for such Events or Meetings as the Betting Operator shall from time to time direct.

(b) The Betting Operator or the person in charge at any Totalisator Office shall be entitled to reject part or all of any Investment at any time and without giving any reason.

(c) The Betting Operator or the person in charge at any Totalisator Office shall be entitled to decline to cancel part or all of any Investment at any time where in the sole opinion of the Betting Operator or such person it would be detrimental to the Betting Operator, its customers or its operations to allow the Investor to cancel the Investment.

3.4. UNIT OF INVESTMENT

Except in the case of Flexi Bets:

- (a) The base unit for all types of Investment shall be fifty (50) cents.
- (b) The minimum Investment for all Bet Types shall be as determined by the Betting Operator from time to time and may vary between Bet Types.

3.5. FLEXI BETS

- (a) In the case of a Flexi Bet, the minimum amount that may be invested on a totalisator in a single bet is the greater of:
 - (i) 1 cent for each combination covered by the bet; or
 - (ii) an amount determined by the Betting Operator.
- (b) Flexi Bets are available on a **Quinella, Exacta, Duet, Trio, Trifecta, First 4, Double, Treble, Quaddie, BIG6, Sport Double, Sport Half/Full Double, Sport Quad, Sport Quarter Quad, Sport Margins** or any other totalisator as otherwise determined by the Betting Operator.
- (c) The amount invested on each combination covered by a Flexi Bet is determined by dividing the total amount of the Flexi Bet by the number of combinations covered by the Flexi Bet (with any fractions rounded down to the nearest ten thousandth of a cent (i.e. rounded down to four decimal places)).
- (d) Any amount resulting from rounding down the amount covered by a combination covered by a Flexi Bet to the nearest ten thousandth of a cent forms part of the investment pool of the relevant totalisator upon which the Flexi Bet is made.

3.6. DIVIDENDS

- (a) The Dividend calculated and declared on the Betting Operator's computer system shall be for an Investment of one (1) base unit.
- (b) The Dividend displayed and notified to Investors may be based on one (1) base unit or, at the Betting Operator's discretion, may be expressed as a multiple of up to two (2) base units.

3.7. BET TYPES

- (a) “**BIG6**” is an investment on 6 or more selections over 6 Events, nominated by the Betting Operator, on the chance that a combination of those 6 selections will fill first place in those Events. The order that the

Events are originally scheduled shall be the order that will be used for selections in all circumstances regardless of when the Event is run.

(b) **"Daily Double"** is an Investment on two Events designated by the Betting Operator at the same Meeting on the chance that a combination of two Selections shall fill first places in those Events.

(c) **"Duet"** is an Investment on an Event which in the case of a field that, as at the time of final Scratching(s) on the Event notwithstanding any late Scratching, consists of 8 or more Competitors, requires any two selections to finish in the first three places (irrespective of order) in that Event. It is not available if the field is 7 or less at the time of final Scratching(s) or the field falls below 5 at any time after final Scratching(s).

(d) **"Exacta"** is an Investment on an Event on the chance that a combination of two Selections shall fill first and second places in the order specified in that Event.

(e) **"Feature Double"** is an Investment on two Events at different Meetings on the chance that a combination of two Selections shall fill first places in those Events.

(f) **"First 4"** is an Investment on an Event on the chance that a combination of four Selections shall fill first, second, third and fourth places in order in that Event.

(g) **"Place Bet"** is an Investment on an Event which in the case of a field that, as at the time of final Scratchings on the Event notwithstanding any late Scratching, consists of 8 or more or 5 - 7, requires the Selection to finish in at least the first three or first two places respectively. It is not available if the field is 4 or less.

(h) **"Quaddie"** is an Investment on four Events at the same Meeting on the chance that a combination of four Selections shall fill first places in those Events.

(i) **"Quinella"** is an Investment on an Event on the chance that a combination of two Selections shall fill first and second places (irrespective of order) in that Event.

(j) **"Running Double"** is an Investment on two consecutive Events at the same Meeting on the chance that a combination of two Selections shall fill first places in those Events.

(k) **"Sport Double"** and **"Sport Xtra Double"** are Investments on two Events on the chance that a combination of two Selections shall be the winners of those Events or draw those Events and in the case of the winners shall also attain a specified Score Range in respect of each Event.

(l) **"Sport Half /Full Double"** and **"Sport Half /Full Xtra Double"** are Investments on two parts of an Event on the chance that a combination of two Selections shall be correct in respect of those parts of that Event and other than in the case of draw Selections shall also attain a specified Score Range in respect of those parts of the Event.

(m) **"Sport Margins"** is an Investment on a schedule of Events, nominated by the Betting Operator, on the chance that a combination of Selections shall be correct in those Events and other than in the case of draw Selections shall also attain a specified Score Range in respect of those Events.

(n) **"Sport Quad"** is an Investment on four Events on the chance that a combination of four Selections shall be the winners of those Events or draw those Events and in the case of the winners shall also attain a specified Score Range in respect of each Event.

(o) **"Sport Quarter Quad"** is an Investment on four parts of an Event on a chance that a combination of four Selections shall be correct in respect of those parts of that Event and other than in the case of draw Selections shall also attain a specified Score Range in respect of those parts of the Event.

(p) **"Sport Win"** is an Investment on an Event on the chance that a Selection shall be the winner of that Event and in the case of the winner shall also attain a specified Score Range in respect of the Event. For the purpose of this bet type, where in an Event a draw occurs both Competitors shall be deemed as winners and the lowest Score Range for both the home and away teams shall include a draw in the Event.

(q) **"Sport Winners"** is an Investment on a schedule of Events, nominated by the Betting Operator, on the chance that a combination of Selections shall be the winners of those Events taking into account the Points Start allocated to one team in each Event.

(r) **"Treble"** is an Investment on three Events at the same Meeting on the chance that a combination of three Selections shall fill first places in those Events.

(s) **"Trifecta"** is an Investment on an Event on the chance that a combination of three Selections shall fill first, second and third places in order in that Event.

(t) **"Trio"** is an Investment on an Event on the chance that a combination of three Selections shall fill first, second and third places in any order in that Event.

(u) **"Win"** is an Investment on an Event on the chance that a Selection will fill first place in that Event.

The commission rates for bet types offered on an International Pooled Bet can be viewed on the Betting Operator's web-site at www.tab.com.au or at retail agencies upon request.

3.8. LIMITATION OF BETTING OPERATOR'S LIABILITY

The Betting Operator shall in no circumstances be liable for any loss or damage suffered or alleged to have been suffered by an Investor as a result of or arising out of or as a consequence of any of the following:

- (a) The refusal of admission to the Betting Operator's premises for whatever reason including but not limited to the publication whether verbally or otherwise of such reason by the Betting Operator.
- (b) An Investor's removal for whatever reason from the Betting Operator's premises whether or not such removal is made by the Betting Operator or the police or any other person, body, institution or corporation.
- (c) Except in the case of negligence by the Betting Operator, any personal injury suffered by an Investor as a result of having been on the Betting Operator's premises.
- (d) The loss of an Account Card.
- (e) Any payment made to the bearer of an Account Card where an Investor alleges that the payment was made to the wrong person.
- (f) The unauthorised use of a PIN by any person whatsoever.
- (g) Failure by the Investor to rectify inaccurate or omitted Investment details when such details are read back or not read back to the Investor or are issued on or omitted from a Ticket.
- (h) Alleged inaccurate or omitted Investment details where the Investor is incoherent or offensive.
- (i) Alleged inaccurate or omitted Betting Account Investment details (in relation to Betting Account Investments over the telephone) where the Investor or any third party interferes with the Betting Operator repeating back the Investment details.
- (j) Telephone calls terminated by the Betting Operator.
- (k) Delivery of cheques or any transfer made to the bank account of an Investor.
- (l) Early or late closure of sales caused by any reason whatsoever.
- (m) Any systems, communication or equipment malfunction which is beyond the reasonable control of the Betting Operator.
- (n) The disclosure of transactions on an Investor's Betting Account or the accuracy thereof where that disclosure is made by the Betting Operator under the Act or any law.
- (o) Reliance upon any inaccurate statement made by the Betting Operator in respect of any matter relating to the Investor or to the Betting Operator or to any Selections except where that inaccuracy is due to the negligence of the Betting Operator and the Betting Operator has not taken reasonable steps to correct that inaccuracy.

- (p) Reliance upon any inaccurate statement made by a person other than the Betting Operator in respect of any matter relating to the Investor or to the Betting Operator or to any Selections.
- (q) Reliance upon any inaccurate statement made by any person whatsoever (including the Betting Operator) in respect of any matter relating to the Investor or to the Betting Operator or to any Selections where such statement is manifestly inaccurate.
- (r) Reliance upon any Form Guide or tipping displayed.
- (s) Unauthorised use of the Investor's Betting Account.
- (t) Alleged inaccurate or omitted Internet Investment details where the Investor has confirmed the Investment Request in accordance with Rule 6.4(f).
- (u) Use of, participation in or inability to obtain access to the Internet Betting System for the purpose of making an Internet Investment.
- (v) The Investor's computer becoming infected with a virus as a result of connecting to the Internet Betting System of the Betting Operator.
- (w) The Internet Investment is not received and processed by the Betting Operator.
- (x) Access by the Investor to any websites via links from the Internet Betting System of the Betting Operator.

3.9. INTERNATIONAL TOTALISATOR POOL

- (a) When the Betting Operator chooses to guest into an International Totalisator Pool, it will be responsible for transmitting all Investments on International Pooled Bets to the host operator in the foreign jurisdiction for inclusion in the appropriate pools for the host to determine the outcome of the totalisator.
- (b) The betting rules of the host operator in the foreign jurisdiction will apply for Investments on International Pooled Bets to the extent necessary to implement the International Totalisator Pool, including in respect of the determination of dividends (including commission rates and jackpots) and refunds, and together with commission rates for International Pooled Bets can be viewed on the Betting Operator's website – www.tab.com.au or at Cash Outlets upon request.
- (c) Except to the extent that the betting rules of the host operator apply under Rule 3.9(b), these Rules continue to apply to International Pooled Bets.
- (d) When the Betting Operator has chosen to guest into an International Totalisator Pool and a Dividend cannot be declared by the host operator within a reasonable time (at the Betting Operator's absolute discretion) after the relevant Event (including due to an unrecoverable system error):
 - (i) if a National Totalisator Pool is available, the Investments

will be treated as National Totalisator Pooled Bets and Rule 3.10 will apply; or

- (ii) otherwise, these Rules will apply to investments with the Betting Operator as if the Investments were not part of an International Totalisator Pool or a National Totalisator Pool.

(e) If for any reason (including a system error), the Betting Operator is unable to participate in an International Totalisator Pool at any time on or after the commencement of betting for a Totalisator but becomes able to participate prior to the declaration of a Dividend for that Totalisator, the Betting Operator may elect to participate in the International Totalisator Pool in respect of that Totalisator and if it so elects, all Investments accepted by the Betting Operator prior to such election will be included in the International Totalisator Pool (as well as any future Investments).

3.10. **NATIONAL TOTALISATOR POOL**

(a) When the Betting Operator chooses to participate in a National Totalisator Pool (as either host or guest), it will be responsible for:

- (i) where the Betting Operator is the host of the National Totalisator Pool, commingling all Investments on National Totalisator Pooled Bets with investments from the other participants in the National Totalisator Pool and determining the outcome of the totalisator, including Dividends (including commission rates and jackpots) and Refunds in relation to all commingled investments in accordance with these Rules; or
- (ii) where another totalisator operator is the host of the National Totalisator Pool, transmitting all Investments on National Totalisator Pooled Bets to the host operator for commingling with investments from the other participants in the National Totalisator Pool, and the betting rules of the host of the National Totalisator Pool will apply for Investments on National Totalisator Pooled Bets to the extent necessary to implement the National Totalisator Pool, including in respect of the determination of dividends (including commission rates and jackpots) and refunds, which can be viewed together with commission rates on the Betting Operator's website – www.tab.com.au or at Cash Outlets upon request.

(b) Except to the extent that the betting rules of the host operator apply under Rule 3.10(a)(ii), these Rules continue to apply to National Totalisator Pooled Bets.

(c) When the Betting Operator has elected to participate in a National Totalisator Pool and a National Totalisator Pool Dividend cannot be declared by the host operator within a reasonable time (at the Betting Operator's absolute discretion) after the relevant event (including due to an unrecoverable system error):

- (i) subject to sub-rule (ii) below, these Rules will apply to

Investments with the Betting Operator as if the Investments were not part of a National Totalisator Pool; and

- (ii) Rule 3.11(d) will apply and any jackpot pool must be removed from the Totalisator Pool prior to the calculation of any Dividend.

(d) If for any reason (including a system error), the Betting Operator is unable to participate in a National Totalisator Pool at any time on or after the commencement of betting for a Totalisator but becomes able to participate prior to the declaration of a Dividend for that Totalisator, the Betting Operator may elect to participate in the National Totalisator Pool in respect of that Totalisator and if it so elects, all Investments accepted by the Betting Operator prior to such election will be included in the National Totalisator Pool (as well as any future Investments).

3.11. JACKPOTS IN CONNECTION WITH A NATIONAL TOTALISATOR POOL

- (a) This Rule applies to the generation and use of jackpot pools and takes precedence, to the extent of any inconsistency, with any other jackpot rules.
- (b) Where a jackpot pool is generated from a National Totalisator Pool it may only be used in a subsequent National Totalisator Pool.
- (c) Where a jackpot pool is generated from a totalisator pool that was not a National Totalisator Pool but:
 - (i) a National Totalisator Pool ordinarily operates for that type of totalisator pool but that National Totalisator Pool was not operating when the jackpot pool was generated (for example, due to a system error or where Rule 3.10(c) applies); or
 - (ii) a National Totalisator Pool did not ordinarily operate for that type of totalisator pool when the jackpot pool was generated, but since the generation of the jackpot pool and prior to the jackpot pool being used, a National Totalisator Pool now ordinarily operates for that type of totalisator pool,

the jackpot pool must be used by the Betting Operator in a subsequent National Totalisator Pool at its discretion.

- (d) Where a jackpot pool (including a Seeded Jackpot) is included in a National Totalisator Pool but the National Totalisator Pool does not operate as intended and Rule 3.10(c) applies, the jackpot pool must be removed from the totalisator pool and it must be used by the Betting Operator in a subsequent National Totalisator Pool at its discretion.

3.12. SEEDED JACKPOTS

- (a) The Betting Operator may include a Seeded Jackpot amount in a Pool at the sole discretion of the Betting Operator.
- (b) Subject to Rule 3.10(c), if a Pool includes a Seeded Jackpot amount and

there is no winner, the Seeded Jackpot amount remains in the Pool and cannot be reclaimed by the Betting Operator.

(c) In the event that all bets from the Pool that includes a Seeded Jackpot amount are refunded to Investors, the refunds will not include the Seeded

Jackpot amount and the Betting Operator can reclaim the Seeded Jackpot amount.

(d) The Seeded Jackpot amount included by the Betting Operator will form part of the total Dividend for that Pool.

(e) If a Seeded Jackpot is to be used, the Betting Operator will advise Investors prior to the commencement of betting on the Event.

(f) Where the Pool includes a Seeded Jackpot amount, this amount will be added to the brought forward jackpots (if any) and the total jackpot will be clearly presented to the Investor so that the Investor is aware of:

(i) the customer Investment amount in the Pool; and

(ii) the total jackpot amount.

The Betting Operator will use reasonable endeavours to ensure that customer investments and jackpot amounts are clearly displayed to the customer. Where this is not possible, the jackpot amount will be included in the Pool Total which will be highlighted and the jackpot amount will be displayed separately.

3.13. Pool Guarantee

For the purpose of Trifecta, First 4, Quaddie, Treble or BIG6 totalisators including one or more of these bet types:

(a) The Betting Operator may apply a Pool Guarantee at the sole discretion of the Betting Operator. In the case of BIG6, the Pool Guarantee shall apply to the Major Dividend, in accordance with Rule 15.

(b) Subject to 3.11(e), if a Pool Guarantee is in place on a Pool where there are backed combinations, the Betting Operator is liable for that portion of the Pool Guarantee Shortfall applicable to the backed combinations.

(c) Subject to 3.11(e), 14.3(a)(ii), 14.3(b)(ii), 14.5(a)(ii), 14.5(b)(ii), 14.5(c)(ii) if a Pool Guarantee is in place on a Pool where there are unbacked combinations, the Betting Operator is not liable for that portion of the Pool Guarantee Shortfall applicable to the unbacked combinations.

(d) Subject to 3.11(e), if a Pool Guarantee is in place on a Pool where there is less than a unit of investment on backed combinations, the Betting Operator is liable for the full unit of the Pool Guarantee Shortfall applicable to the backed combinations.

(e) In the event that all bets from a Guaranteed Pool are refunded to Investors, the refunds will not include the Pool Guarantee amount and the Betting Operator is not liable for any Pool Guarantee Shortfall.

(f) If a Pool Guarantee is in place on a Pool, wherever possible the Pool total will be displayed as a gross pool total, excluding Jackpot amounts.

3.14. **Totalisator Cash Out**

The Betting Operator may facilitate functionality whereby an Investor may cash out their Quaddie or Treble bet (placed with the Betting Operator) in part or in its entirety through an arrangement with a third party. The Betting Operator will make sufficient information available to Investors, including all terms and conditions relevant to the Quaddie or Treble cash out offer to enable investors to make an informed decision.

3.15. **Multiplier**

The Betting Operator may facilitate functionality whereby an Investor may place a bet with the Betting Operator which includes a multiplier feature. The Betting Operator will make sufficient information available to Investors, including all terms and conditions relevant to the multiplier feature to enable investors to make an informed decision.

4. ESTABLISHMENT AND OPERATION OF BETTING ACCOUNTS AND PURCHASE OF BETTING VOUCHERS

General

- 4.1. For the avoidance of doubt, as per Rules 3.2(a), and 6.5(h), the persons listed at those rules are not authorised to open a Betting Account.
- 4.2. A Betting Account may be opened for a minimum value in accordance with a process determined by the Betting Operator from time to time and an Account Card may be issued if requested by that customer.
- 4.3. For the purposes of opening a Betting Account or obtaining a Betting Voucher, Investors must complete all documentation and provide all information required by the Betting Operator.
- 4.4. A receipt will be given for the funds then deposited. Unless the amount of any deposit is a multiple of five (5) cents, the deposit will be rounded down to the nearest five (5) cents.

Provisions Relating to Betting Accounts

- 4.5. An authority will be issued to the value of the deposit to those Investors who the Betting Operator approve may open a Betting Account.
- 4.6. An Investor who is approved as a Betting Account holder may be required to provide a PIN for a Betting Account
- 4.7. Subject to Rule 4.3 a Betting Account may be opened with:

- (a) Cash, or

(b) Betting Vouchers issued by the Betting Operator, or

(c) Electronic transfer of funds

but no Investment or withdrawal of funds against deposits referred to in Rule 4.7(c) will be accepted until cleared funds have been credited to the Betting Account.

4.8. The Betting Operator shall be entitled to refuse any application for a Betting Account without providing a reason for refusal.

4.9. A separate record will be kept of each Betting Account and of Transactions made against it.

4.10. The records referred to at Rule 4.9 will be retained:

(a) in the case of computerised Account records for a period of twelve (12) months;

(b) in the case of voice-tape records, for a period of fourteen (14) days;

after the date of the Transactions concerned.

4.11. Statements detailing the Transactions made using a Betting Account will be provided in accordance with regulatory requirements.

4.12. A Betting Account holder must notify the Betting Operator of a change of the holder's personal details (including address or email address) within fourteen (14) days of the change either:

(a) in writing;

(b) via phone to Betting Account Centre; or

(c) through the Betting Operator's Internet Betting System.

4.13. Subject to these Rules a Betting Account holder may withdraw any part of the Credit Balance from or close a Betting Account and receive any Credit Balance upon:

(a) presentation of the Account Card to the Betting Operator and upon provision of the appropriate PIN;

(b) presentation of a statutory declaration attesting to the circumstances of the loss of the Account Card, together with such proof of identity of the person as the Betting Account holder as may be reasonably required by the Betting Operator; or

(c) through the Betting Operator's Internet Betting System through requesting a withdrawal,

provided that if any part of the Credit Balance is represented by deposits that are uncleared funds, that part may not be withdrawn by a Betting Account holder.

- 4.14. The Betting Operator may in its absolute discretion close any Betting Account without giving reasons and without prejudice to the generality of the foregoing may exercise such discretion if:
- (a) the Betting Account has not been operated for at least twenty-four (24) months or has a zero balance provided that before any Betting Account is closed pursuant to this sub-rule, the Betting Operator shall provide three (3) months' written notice to the Betting Account holder of its intention to do so;
 - (b) the Betting Account has been operated in breach of any Rules or policies made by the Betting Operator;
 - (c) more than one of the same type of Betting Account is opened for the same person;
 - (d) incomplete or inaccurate details are given on the application form for the opening of the Betting Account;
 - (e) the Betting Operator has determined that in order to comply with harm minimisation requirements under law, the Betting Account requires closure; or
 - (f) the Betting Account holder has breached any law which in the reasonable opinion of the Betting Operator would warrant the closure of the Betting Account.
- 4.15. Upon the closure of a Betting Account pursuant to Rule 4.14 the Betting Operator shall transfer any Credit Balance to the bank account nominated by the Betting Account holder on the original application form or as may have been notified to the Betting Operator.
- 4.16. In the event that the bank account referred to in Rule 4.15 is no longer open, the Betting Operator shall take such steps as it shall consider reasonable to obtain an address for settlement of the Betting Account and shall in any event serve written notice on the Betting Account holder at the address last notified to the Betting Operator that the bank account has been closed and that the Credit Balance of the Betting Account if not claimed by the Betting Account holder within a period of not less than 6 months shall be treated as unclaimed moneys for the purpose of any applicable legislation (including the *Unclaimed Moneys Act 1962* (Victoria)).
- 4.17. Subject to these Rules and any statutory requirement, the Betting Operator may close a Betting Account upon request by a Betting Account holder.
- 4.18. Upon closure pursuant to Rule 4.17 the Betting Operator shall transfer any Credit Balance to the address last notified to the Betting Operator by the Betting Account holder.

- 4.19. In making payments under Rules 4.13, 4.15 and 4.18 the Betting Operator shall not be obliged or concerned to inquire as to the legal rights of the bearer of the Account Card or to the ownership or possession of the Account Card.
- 4.20. If a Betting Account does not have any Investments recorded against it for a period of six (6) months or more, or if a Betting Account is used predominantly for a purpose of other than placing Investments, the Betting Operator may charge against the credit balance of the Betting Account a monthly account management fee, the amount of which shall be determined by the Betting Operator, for a maximum of eighteen (18) months or until the account balance is zero, whichever occurs first, provided that before any monthly account management fee is charged pursuant to this Rule the Betting Operator shall provide thirty (30) days written notice to the Betting Account holder of its intention to do so.

Provisions Relating to Betting Vouchers

- 4.21. Betting Vouchers are issued to a minimum value as determined by the Betting Operator from time to time to provide a credit on presentation to the Betting Operator.
- 4.22. On presentation the bearer will be entitled to make Investments to the full credit amount of the Betting Voucher either in Cash Outlets or in the form of credit to a Betting Account.

5. CLOSING TIME FOR INVESTMENTS

- 5.1. Subject to Rule 5.2, the close of sales for Investments on an Event is the start of the Event.
- 5.2. The Betting Operator may at its option close selling on an Event before the start of the Event (whether that is at, before or after the Advertised Starting Time of the Event) and without limiting the generality of the foregoing may do so in cases of systems, communication or equipment malfunction or where the Event starts on a day or at a time on which the Betting Operator is not accepting Investments.
- 5.3. The Betting Operator may at its option re-open selling on an Event which it has previously closed in accordance with Rule 5.2 or in the case of an Event which is re-run.
- 5.4. Any Investments accepted by the Betting Operator after the start of an Event shall be void and will be refunded to Investors.
- 5.5. It is the responsibility of an Investor to allow sufficient time for the placing and processing of the Investment before the close of sales on an Event.

- 5.6. If any of the Events selected to form part of a Multi-leg Pool are abandoned, selling on that Multi-leg Pool must cease.

6. PLACING OF INVESTMENTS

6.1. GENERAL CONDITIONS

- (a) Subject to Rule 5, Investments may be made during such times and for such Events as the Betting Operator may from time to time direct.
- (b) The Investor shall supply such information as may be required to identify clearly the Investment to be made on the Event concerned.
- (c) Investments will be accepted in the manner set out in this Rule 6 in Cash Outlets, or by telephone, post or other approved means against a Credit Balance.
- (d) When investing into a Multi-leg pool, the order that the Events are originally scheduled by the Betting Operator shall be the order that will be used for selections in all circumstances regardless of when the Event is run.

6.2. SELF SERVICE TERMINAL INVESTMENTS

- (a) Subject to any specific instruction or direction contained in these Rules, the Betting Operator will ensure that adequate instructions and/or directions are made available to Investors on how to operate a Self Service Terminal.
- (b) Investors shall only operate a Self Service Terminal in accordance with the instructions referred to in Rule 6.2(a) and/or any applicable supplementary rules.
- (c) An Investor shall bring to the prompt attention of the Betting Operator any incorrect operation or failure to operate of a Self Service Terminal.
- (d) Investments using a Self Service Terminal may only be made using Betting Vouchers, bank notes, an Account Card against a Credit Balance or EFT (subject to Rule 6.3((h) – (j))) as payment for Investments.
- (e) The Investor shall not tilt, rock, tamper with or interfere with a Self Service Terminal in any manner.
- (f) Any Investment made by an Investor which is not recorded or registered as a result of a failure by a Self Service Terminal to operate correctly or at all in accordance with the Investor's instructions shall be refunded or credited to the Investor to the extent that such Investment exceeds the winnings payable to the Investor in respect of that Investment.

(g) An Investor shall satisfy himself or herself that all the details of the Investment as entered by the Investor are correct prior to confirming the Investment.

(h) Upon confirmation of the Investment by the Investor, the Investor may only cancel, amend or replace the Investment in accordance with Rule 8 at a Cash Outlet at which it is possible to cancel, amend or replace the Investment with assistance from staff of the Cash Outlet.

6.3. BETTING ACCOUNT AND CASH OUTLET INVESTMENTS

(a) In Cash Outlets, only Betting Vouchers, bank notes and coins, an Account Card against a Credit Balance or EFT (subject to Rules 6.3((h)-(j))) will be accepted as payment for Investments.

(b) Betting Account Investments may only be made against a Credit Balance.

(c) Before an Investment can be accepted the Investor must indicate clearly the Account Number and PIN of a Betting Account (if applicable) and the Meeting, Event, Selection, Bet Type, the amount of the Investment and such other information as may be required by the Betting Operator to identify the Investment to be made.

(d) The Betting Operator shall provide the Investor with confirmation of the Investment:

(i) In the case of a Betting Account Investment made by telephone, by repeating the Investment details recorded against the Investor's Betting Account, unless the customer indicates that he or she does not wish to have them repeated; and

(ii) in the case of Cash Outlets, by the issue of a Ticket bearing the Investment details.

(e) Subject to the provisions of Rule 8.1, the Investor shall be deemed to accept the Investment confirmed to them, unless:

(i) in the case of a Betting Account Investment made by telephone, the Investor corrects any error or omission after the investment details are repeated back to them; or

(ii) in the case of Cash Outlets, the Investor corrects any error or omission immediately after the issue of the Ticket.

(f) The following additional provisions apply to Investments made via telephone against a Betting Account:

(i) the Investor shall use only those telephone numbers notified by the Betting Operator;

- (ii) the Investor shall not talk at the time the operator is repeating the Investment details back to the Investor, nor in any way interfere with this process; and
- (iii) if the Investor requests that the Investment details not be repeated back to them, no claims shall be entertained by the Betting Operator.
- (g) In the case of a Betting Account Investment using interactive voice response or natural language speech recognition technology:
 - (i) An Investor shall satisfy himself or herself that all the details of the Investment as entered by the Investor are correct prior to confirming the Investment;
 - (ii) Upon confirmation of the Investment by the Investor the Betting Operator will make reasonable endeavours to confirm to the Investor that the Investment has been accepted by the Betting Operator;
 - (iii) An Investment is considered to have been accepted by the Betting Operator when it is recorded by the Betting Operator's host computer regardless of whether the Investor receives confirmation from the Betting Operator.
 - (iv) In accordance with Rule 8, an Investment may not be cancelled after it has been accepted by the Betting Operator.
- (h) In Cash Outlets, the following restrictions will apply to Investments paid for by EFT:
 - (i) Where the Investment is made using a Self Service Terminal the maximum stake per Investment is \$100;
 - (ii) Where the Investment is made using a manned operator terminal the maximum stake per Investment is \$200; and
 - (iii) Investors may only invest a maximum of \$200 per day using the same debit card.
- (i) EFT cannot be accepted as payment for Investments in Cash Outlets that contain Gaming Machines.
- (j) Rules 6.3(h) and 6.3(i) will no longer apply in circumstances where the Betting Operator has implemented approved system restrictions in relation to the redemption of Betting Vouchers for cash for those Betting Vouchers comprised of funds contributed by EFT:
 - (i) for Cash Outlets in which Gaming Machines are present, within a period of twelve (12) hours from the time of Betting Voucher creation; or
 - (ii) for Cash Outlets that do not contain Gaming Machines, within a period of twelve (12) hours from the time of Betting Voucher creation or with the provision of valid personal identification.

6.4. **INTERNET BETTING SYSTEM**

- (a) Internet Investments may only be made by use of a Betting Account against a Credit Balance.
- (b) To activate an existing Betting Account to allow placement of Internet Investments an Investor shall provide their Account Number, PIN (relating to the Betting Account) and a Password nominated by the Investor. The Investor shall then confirm their Password by re-typing it in the Password confirmation box.
- (c) For every subsequent Internet Investment, the Investor shall be required to enter their Account Number and Password.
- (d) An Investor shall satisfy themselves that all the details recorded on the Internet Betting Confirmation Screen, including but not limited to the details in the Investment Request, are correct.
- (e) The Investment is not sent to the Betting Operator until it is confirmed by the Investor on the Internet Betting Confirmation Screen by clicking the appropriate button on that screen.
- (f) In accordance with Rule 8, an Investment may not be cancelled after it has been accepted by the Betting Operator but errors may be corrected by the Investor by accessing the Betting Operator's process to make corrections.
- (g) The Investment contained in the Investment Request shall be accepted and confirmed by the Betting Operator upon allocation by the Betting Operator of a Ticket Serial Number to the Investment.
- (h) The Betting Operator will make reasonable endeavours to notify the Investor of confirmation of the Investment by issuing to the Investor an Internet Betting Receipt.
- (i) If the Investor does not receive an Internet Betting Receipt in respect of an Investment, the Investor may request by telephone or in writing and the Betting Operator shall provide confirmation of the Investment.
- (j) If the Investor receives an Internet Betting Receipt that does not match the Investment Request confirmed by the Investor, the Investor may make a claim in relation to the Investment, provided such claim is rendered to the Betting Operator in writing within fourteen (14) days of the date of placing of the Investment by the Investor.
- (k) An Investment is considered valid when it is accepted and confirmed by the Betting Operator in accordance with Rule 6.4(g) regardless of whether the Investor receives an Internet Betting Receipt.

6.5. INTERNET BETTING GENERAL RULES

- (a) The Investor is responsible for ensuring that the Investor's Password, PIN and other pieces of personal information that the Betting Operator may use to verify the Investor's identity are kept secret.

- (b) The Investor is responsible for Transactions relating to their Betting Account. Except for the circumstances outlined herein the Betting Operator accepts no responsibility for disputed Transactions or for Transactions made by the Investor on their Account.
- (c) The records of the Betting Operator shall be conclusive evidence of and be deemed to be the true and correct record of all Internet Investments. Computer printouts and other records provided by the Investor shall not be accepted as evidence of Internet Investments.
- (d) The Betting Operator may cease to provide access to an Investor to the Internet Betting System of the Betting Operator to make Internet Investments at any time. This may be done without notice to the Investor.
- (e) The Investor warrants that all information provided by him or her through the Internet Betting System shall be true and correct and that they will immediately notify the Betting Operator of any change to the information.
- (f) Subject to regulatory requirements, the Investor agrees to the use by the Betting Operator of the information provided by the Investor through the Internet Betting System for any purpose relating to the opening of a Betting account, the activation of Internet Betting System access, the making of Internet Investments, for marketing purposes (subject to any privacy requirements) or as required by law.
- (g) The Betting Operator may change the information or the format of the information on the Internet Betting System at any time without notice to the Investor.
- (h) By making an Investment via any means of communication provided by the Betting Operator, the Investor warrants that he or she is 18 years of age or over and that making of the Investment does not violate any international, federal, state or local laws.
- (i) All odds information is approximate only and any other information displayed on the Internet Betting System is accurate to the best of the Betting Operator's knowledge.

7. CREDITING AND PAYMENT OF DIVIDENDS AND REFUNDS AND CLAIMS PROCEDURES

7.1. GENERAL

- (a) Subject to these Rules any Dividend won by or Refund made to an Investor shall be paid to that Investor in the Betting Operator's sole discretion either:
 - (i) in cash,
 - (ii) by cheque,
 - (iii) Betting Voucher,
 - (iv) by the crediting of the value of the Dividend or Refund to a Betting Account or
 - (v) by the crediting of the value of the Dividend or Refund to an Investor's bank account via EFT.

(b) The Betting Operator shall not be obliged to make payment of any Dividend or Refund until an Investor has provided any documentation and information as the Betting Operator may reasonably require.

(c) Without limiting (d), the Betting Operator will use its best reasonable endeavours to ensure that Dividends will be available for payment or crediting immediately after the official result of an Event is received by it.

(d) The Betting Operator may, at its discretion, choose to pay a Dividend prior to the official result being declared for an Event ("Early Result"). In this scenario, if the official result for the Event is different to the Early Result, new Dividends will be declared in accordance with the official result and paid to customers. For customers who received a Dividend based on the Early Result, customers will not be disadvantaged by the change in result.

(e) Notwithstanding Rule 7.1(c), if the Betting Operator's offices are not open at the time a Dividend or Refund is determined or if unforeseen circumstances arise, determination of the Dividend and/or payout may be delayed at the sole option of the Betting Operator.

(f) Any Dividend or Refund miscalculated by the Betting Operator (or by the host operator of an International Pooled Bet or National Totalisator Pooled Bet) shall be void and the incorrect amount of the Dividend or Refund shall be treated as a debt owed by or credit due to the Investor, as the case may be, and the Betting Operator reserves the right to make appropriate amendments to Investor's Betting Account without notice to Investors.

(g) If the official results of any Event are amended by the Controlling Body after Dividend declaration and commencement of payment, then the original Dividend will stand and no other Investors will be entitled to a Dividend.

(h) The Place Pool shall be divided into equal parts each of which shall be divided amongst the Investors on the winner and each of the placings to be paid a Dividend, as declared by the Betting Operator.

(i) The Duet Pool shall be divided into three equal parts and one part shall be divided amongst the Investors on the combination of the winner and second placing, one part amongst Investors on the combination of the winner and third placing with the remaining part amongst the Investors on the combination of second and third placings.

(j) For the purpose of calculation of **BIG6** dividends:

(i) any single Investment shall not be entitled to more than one Dividend;
and,

(ii) any single Investment which contains the numbers of winning Selections required for more than one Dividend level set out in Rule 15 shall be entitled to only that Dividend which corresponds with the highest number of winning Selections contained in the Investment.

(iii) In any BIG6 Event, the Dividend will be declared at the completion of the last Event run.

(k) Subject to Rule 16:

Where, in relation to a part of the **Place** Pool or **Duet** Pool, the amount required to return the Minimum Dividend exceeds the total of:

(A) that part; and

(B) the Commission deducted in respect of the **Place** Pool or **Duet** Pool concerned-

a sum equal to the amount of the excess shall be proportionately deducted from the remaining parts of the **Place** Pool or **Duet** Pool, according to the proportion to which each of those parts of the Pool are in surplus over a theoretical dividend of the base unit of investment, and added to the first mentioned part of the **Place** Pool or **Duet** Pool.

7.2. **CREDITING AND PAYMENT OF DIVIDEND AND REFUNDS, CLAIMS PROCEDURE**

(a) Subject to Rule 7.1(c), Dividends and Refunds will be credited to Betting Accounts as soon as practicable after they are determined.

(b) For the purpose of the payment of Dividends or redemption of Betting Vouchers in a Cash Outlet by way of cheque, and withdrawals from a Betting Account by way of cheque, the amount of the cheque to be drawn by the Betting Operator will be rounded up or down to the nearest five (5) cents as follows:

Amount of Cheque for Dividend/Betting Voucher / Withdrawal to the second decimal place	Rounded Amount
1 or 2 cents	0 cents
3 or 4 cents	5 cents
6 or 7 cents	5 cents
8 or 9 cents	10 cents

(c) The following provisions apply to the payment of Dividends and Refunds in Cash Outlets:

(i) Subject to Rule 7.2(c)(iii) the appropriate Ticket must be presented when collecting any Dividend or Refund due in respect of an Investment.

(ii) Dividends may only be collected for a period of not less than twenty six (26) weeks after the Event is decided.

- (iii) Notwithstanding the presentation of the appropriate Ticket, if the records of the Betting Operator indicate that the Dividend or Refund due in respect of the Ticket has previously been paid then such records shall be conclusive and no further Dividend or Refund shall be payable in respect of that Ticket.

Claims

- (iv) Dividends may only be claimed after the time referred to in Rule 7.2(c)(iii) by submitting a claim to the Betting Operator's Head Office.
- (v) Notwithstanding Rules 7.2(c)(ii) and 7.2(c)(iv), any Dividends and Refunds which are not claimed shall be dealt with in accordance with the Act.
- (vi) Claims for lost, destroyed, mutilated or stolen Tickets or Betting Vouchers can be made for a period of fourteen (14) days after the Event for which the ticket was issued is decided unless the claimant can provide a reason to the satisfaction of the Betting Operator for the delay in lodging the claim.
- (vii) The Betting Operator may charge a fee not exceeding eleven (11) dollars on all claims referred to in Rule 7.2(c)(iii) and 7.2(c)(vi) and a statutory declaration attesting to the circumstances of the claim must accompany each such claim.
- (viii) Subject to Rule 7.2(c)(ix), payment of successful claims approved by the Betting Operator will not be made until the expiration of twelve (12) weeks from the date the Event was decided.
- (ix) Payment of a successful claim approved by the Betting Operator may be made immediately if due to the facts of the claim the Betting Operator considers it would be unreasonable to delay payment.
- (d) The following provisions apply to the making of claims on Betting Accounts:
 - (i) Any claim in respect of an alleged inaccurate entry in an Investor's Betting Account must be rendered to the Betting Operator in writing within fourteen (14) days of the date on which the alleged inaccurate entry occurred or such further time as the Betting Operator will allow.
 - (ii) Any Investment made by an Investor which is not recorded or registered or is incorrectly recorded or registered as a result of a failure by the Betting Operator to comply with the Investor's instructions shall be refunded or credited to the Investor to the extent that such Investment exceeds the winnings payable to the Investor in respect of that Investment.
- (e)
 - (i) Subject to Rule 7.2(e)(ii) the amount to be paid upon successful claims approved by the Betting Operator shall be calculated by reference to the Dividend declared.

- (ii) The amount to be paid upon successful claims approved by the Betting Operator shall be calculated by reference to the Dividend that would have been payable if the claimant's Investment had been correctly received (such amount being referred to in this Rule 7.2(e)(ii) as the "Reduced Amount") where the amount calculated in accordance with Rule 7.2(e)(i) exceeds the Reduced Amount by more than one thousand (1,000) dollars.
- (iii) Such amounts as are paid to the claimant pursuant to either Rule 7.2(e)(i) or 7.2(e)(ii) shall exclude any refund or credit given to the claimant in respect of the claimant's Investment under any other provision of these Rules.
- (f) Where an Investor contends within fourteen days (14) or such time as the Betting Operator will allow that a loss has been suffered in connection with any Transaction, the Betting Operator may, if it considers appropriate, enquire into the circumstances of that Transaction and make such ex gratia payment as it may in its absolute discretion determine.
- (g) An Investor who is dissatisfied with the Betting Operator's decision on a claim, may request via writing that the Betting Operator review its decision.
- (i) The Betting Operator will deal with such a request as if it were a claim, except the person who deals with the request must not be:
 - (A) the person who dealt with the original claim; or
 - (B) a person who is under the supervision of the person who dealt with the original claim.

Rule 7.2(g) does not authorise more than one request for review to be made in relation to any one claim.

7.3. **FLEXI BET ENABLED POOL DIVIDENDS WHERE LESS THAN A UNIT OF INVESTMENT ON CORRECT SELECTIONS**

Where the total of Flexi Bet Enabled Pool Investments for each Dividend level where a Dividend is to be paid ("winning Quinella combination", "winning Exacta combination", "winning Duet combination for each Dividend", "winning Trio combination", "winning Trifecta combination", "winning First 4 combination", "winning Double combination", "winning Treble combination", "winning Quaddie combination", "winning BIG6 combination for each Dividend level", "winning Sport Double combination", "winning Sport Half/Full Double combination", "winning Sport Quad combination", "winning Sport Quarter Quad combination", or "winning Sport Margins combination" as the case may be) is less than a unit of Investment:

- (i) only the amount of the Flexi Bet Enabled dividend pool for each Dividend level determined in accordance with the following formula will be distributed amongst the investors on the winning combination for each Dividend level:

$$da = di \times \frac{ai}{ui}$$

where:

- da* is the amount of the Flexi Bet Enabled dividend pool for each Dividend level which is to be distributed among the Investors on the winning combination for each Dividend level;
- di* is the total amount which would be distributed to Investors on the winning combination for each Dividend level if the total of Flexi Bet Enabled Pool Investments for each Dividend level in respect of which a dividend is to be paid was not less than a unit of investment. Where the winning combination for each Dividend level is not backed, *di* excludes the Pool Guarantee Shortfall amount under Rules 13.5(ii)(C), 13.6(ii)(C), 13.7(ii)(C) or 13.8(ii)(C), as the Betting Operator is not liable for the Pool Guarantee Shortfall as detailed under Rules 3.11(c);
- ai* is the total of all Flexi Bet Enabled Pool Investments for each Dividend level (if any) on the winning combination for each Dividend level;
- ui* is the unit of Investment to the Flexi Bet Enabled Pool; and
- (ii) there is to be transferred to the Flexi Bet Enabled jackpot pool or on the Succeeding Flexi Bet Enabled Event an amount calculated in accordance with the following formula:

$$t = di - da$$

where:

t is the amount transferred to the Flexi Bet Enabled jackpot pool on the Succeeding Flexi Bet Enabled Event;

di has the same meaning as in sub-rule (a) above;

da has the same meaning as in sub-rule (a) above.

8. CANCELLATION OF INVESTMENTS

- 8.1. Subject to the exceptions set out in Rules 3.3(c), 8.2, 8.3, 8.4, 8.6 and 9, an Investor may cancel an Investment and obtain a Refund of that Investment at any time prior to the close/sell time of the Event concerned.
- 8.2. If an Investment is for **Win, Place, Quinella, Exacta, Duet, Trio, Trifecta, First 4, Double, Treble, Quaddie and BIG6** Bet Types or for a Sports Totalisator, the following processes apply to cancellations and corrections:
- (a) In the case of Investments made through a human operator in a Cash Outlet, a customer may cancel their bet within the period prescribed in the table below, noting:
- (i) For multi-event bet types, eg. Double, Treble, Quaddie, BIG6, AFL Quad, AFL Double, AFL Winners, AFL Margins, the Event refers to the first leg of the bet
 - (ii) Bets may only be cancelled at the place of issue

Type of Bet	Event	Time Bet placed	Cancellation limit
Tote Racing Bet	1 st Event	Up to 30 minutes prior to AEST	Prior to betting closed
		Within 30 minutes prior to AEST	Within 2 minutes of bet placement but prior to betting close The period of 2 minutes may be a lesser time as determined by the Betting Operator
	Any Event other than 1 st Event	Prior to the actual start of the previous Event	Prior to betting closed
		After the actual start of the previous Event	Within 2 minutes of bet placement but prior to betting close The period of 2 minutes may be a lesser time as determined by the Betting Operator
Tote Sports Bet	Any Event	Up to 30 minutes prior to AEST	Prior to betting closed
		Within 30 minutes prior to AEST	Within 2 minutes of bet placement but prior to betting close The period of 2 minutes may be a lesser time as determined by the Betting Operator

AEST = Advertised Event Start Time

(b) The Betting Operator must provide reasonable notice to Investors before implementing any new “lesser time” cancellation period referred to in the table in Rule 8.2(a) .

(c) In the case of a Betting Account Investment made through a human operator, before the conclusion of the telephone call during which the Investment was originally made, or before any further Investment is made, whichever occurs first.

(d) During high volume betting periods the Betting Operator may extend the 2 minute cancellation period specified in the table in Rule 8.2(a)(a) to allow cancellations for a longer period after the time the investment was originally made.

In the cases referred to in Rule 8.2(a) and 8.2(c) the investment details may be corrected, but a Refund may not be obtained.

8.3. Investments on a **Feature Double** may not be cancelled, nor may any Refunds be made.

8.4. Internet Investments may not be cancelled after the Investment has been accepted by the Betting Operator.

- 8.5. Investments made through a Self-Service Terminal in a Cash Outlet may only be cancelled and a refund obtained in accordance with this rule at the Cash Outlet where the Investment was issued with the assistance of staff at the Cash Outlet.
- 8.6. Betting Account Investments which are transacted using interactive voice response or natural language speech recognition technology may not be cancelled after the Investment has been accepted by the Betting Operator.

9. SCRATCHINGS AND SUBSTITUTES

- 9.1. Subject to the exceptions set out in Rule 9.2, if a Selection is Scratched, an Investor is entitled to a refund of that Investment.

9.2. DOUBLE, TREBLE, QUADDIE, AND BIG6

- (a) If a selection is Scratched from any Double, Treble, Quaddie or BIG6, the Investment is deemed to be invested on a Substitute Selection.
- (b) In a **Feature Double** if any Selection is Scratched the Investment is forfeited.

9.3. DETERMINATION OF SUBSTITUTE

- (a) Where the Betting Operator receives **Win** Investments on a Selection Scratched from an Event in any **Double, Treble, or Quaddie**, these latter Investments shall be deemed to be Invested on the Selection which has the greatest relative amount of money invested on it on the Betting Operator's **Win** Pool after all Investments have been received and collated following the close/sell time of the Event concerned.
- (b) Where the Betting Operator receives Investments on a Selection Scratched from an Event in any **BIG6**, these latter Investments shall be deemed to be Invested on one of the options listed below as decided by the Betting Operator:
- (i) the Selection which has the greatest relative amount of money invested on it on that Event on the Betting Operator's **BIG6** Pool after all Investments have been received and collated following the close/sell time of the Event concerned; or
- (ii) the Selection which has the greatest relative amount of money invested on it on the Betting Operator's Win Pool after all Investments have been received and collated following the close/sell time of the Event concerned.
- (c) Where two or more Selections are equally determinable as provided in Rules 9.3(a) or 9.3(b) the Selection bearing the lowest List Number shall be deemed to be the substitute Selection for that Event.

- (d) For the purpose of this Rule 9.3, any determination made by the Betting Operator as to the Competitor to be substituted for a Scratched Selection in any Event shall be final and conclusive.

9.4. **FIELD SELECTIONS**

(a) Where a **Quinella, Exacta, Duet, Trio, Trifecta or First 4** Field Investment is made and one or more of the Competitors is subsequently Scratched, the Investor shall be entitled to a Refund of the Investment in respect of such Competitors that are so scratched

(b) Subject to Rule 9.2(a) where a **Double, Treble, Quaddie, or BIG6** Field Investment is made and one or more of the selections is subsequently Scratched, the Investment in respect of such Selections that are so scratched shall be deemed to be Invested on the substitute determined in accordance with Rule 9.3.

10. **NO-RACE, CANCELLED, POSTPONED OR ABANDONED EVENTS AND MEETINGS**

10.1. For the purposes of this Rule 10, a draw Selection in a Sport Double, Sport Xtra Double, Sport Half/Full Double, Sport Half/Full Xtra Double, Sport Quad or Sport Quarter Quad is not a Score Range for the purposes of Dividend determination.

10.2. For the purpose of Pools of the following Bet Types:

(a) the minimum number of Competitors required in order for a Racing Totalisator to be conducted on an Event shall be-

Win – 2
Place – 5
Quinella – 3
Exacta – 2
Duet – 8
Trio – 4
Trifecta – 3
First 4 – 4
Double – 2
Treble - 2
Quaddie – 2
BIG6 – 2
other Racing Totalisators – 1

and

(b) the minimum number of Competitors which must remain in an Event (or in the case of a Bet Type consisting of more than one Event, then which must remain in at least one of the Events on which that Bet Type is conducted) after the time of final Scratching(s) on the Event in order for the Event not to be regarded as abandoned, shall be-

Win – 2
Place – 5

Quinella – 3
Exacta – 2
Duet – 5
Trio – 4
Trifecta – 3
First 4 – 4
Double – 2
Treble - 2
Quaddie – 2
BIG6 – 2
other Racing Totalisators – 1

10.3. Notwithstanding Rules 10.1 and 10.2:

- (a) If a Meeting or Event has been cancelled, abandoned or postponed to a later date or declared a 'no-race' and not subsequently re-run, all Investments made in connection with that Meeting or Event shall be refunded.
- (b) Investments referred to in Rule 10.3(a) shall not in respect of Quinella, Exacta, Duet, Trio, Trifecta or First 4 include any money carried forward from a previous Quinella, Exacta, Duet, Trio, Trifecta or First 4, but shall be added to and form part of a subsequent Quinella, Exacta, Duet, Trio, Trifecta or First 4.

10.4. If the start time of the scheduled first leg of the BIG6 is delayed or run out of sequence, the close time of the Event shall be the jump time of the first race run in the scheduled series of Events making up that BIG6.

10.5. **DOUBLE**

- (a) Where any Event in a **Double** is cancelled, abandoned or declared a no-race as appropriate irrespective of whether the no-race is re-run, all Selections on that Event shall be deemed to be winners and the **Double** Pool shall be divided in accordance with Rules 10.5(c) and 10.5(d).
- (b) Where the first and second Events of a **Double** are abandoned all Investments on that Double shall be refunded.
- (c) Where the first Event of a **Double** is abandoned before or after the start of that Event a Dividend shall be determined on the result of the second Event of that **Double** and the Pool shall be divided amongst those Investors who have selected any Selection in the first Event and the winner of the second Event.
- (d) Where the second Event of a **Double** is abandoned before or after the first Event is run a Dividend shall be determined on the result of the first Event of that **Double** and the Pool shall be divided amongst those Investors who have selected any Selection in the second Event and the winner of the first Event.

- (e) Investments referred to in Rule 10.5(b) shall not include any money carried forward from a previous **Double**, but shall be added to and form part of a subsequent **Double** Pool.

10.6. **QUADDIE, TREBLE, BIG6 AND SPORT QUAD**

(a) Where any Event in a **Treble, Quaddie, BIG6 and Sport Quad** is cancelled, abandoned or declared a no-race as appropriate irrespective of whether the no-race is re-run, all Selections on that Event shall be deemed to be winners and:

- (i) the **Quaddie, Treble and BIG6** Pools shall be divided on that basis;
- (ii) the **Sport Quad** Pools shall be divided in accordance with Rule 13.

(b) Where:

- (i) all Events or a round in an Event in a **Treble, Quaddie or Sport Quad; or,**
- (ii) three or more Events in a **BIG6**

are cancelled, postponed or abandoned all Investments on that Event or that round shall be refunded.

(c) Investments referred to in Rule 10.6(b) shall not in respect of **Treble, Quaddie, BIG6 or Sport Quad** include any money carried forward from a previous **Treble, Quaddie, BIG6 or Sport Quad**, but shall be added to and form part of a subsequent **Treble, Quaddie, BIG6 or Sport Quad** Pool.

10.7. **SPORT DOUBLE AND SPORT XTRA DOUBLE**

(a) Where the first Event of a **Sport Double or Sport Xtra Double** is cancelled, postponed or abandoned, a Dividend shall be determined on the result of the second Event and the Pool shall be divided amongst the Investors on the following combinations specified in order of precedence:

- (i) Any Selection in the first Event and the Winning Team together with the correct Score Range or draw Selection as applicable in the second Event;
- (ii) Any Selection in the first Event and the Winning Team together with the Score Range or ranges nearest to the correct Score Range in the second Event.

(b) Where the second Event of a **Sport Double or Sport Xtra Double** is cancelled, postponed or abandoned, a Dividend shall be determined on the result of the first Event and the Pool shall be divided amongst the Investors on the following combinations specified in order of precedence:

- (i) Any Selection in the Second Event and the Winning Team together with the correct Score Range or draw Selection as applicable in the First Event;

(ii) Any Selection in the Second Event and the Winning Team together with the Score Range or ranges nearest to the correct Score Range in the First Event.

(c) Where both Events are cancelled, postponed or abandoned, all Investments on that **Sport Double** or **Sport Xtra Double** shall be refunded to the Investors.

10.8. **SPORT MARGINS AND SPORT WINNERS**

(a) Where any Event in a **Sport Margins** or **Sport Winners** is cancelled, postponed or abandoned, irrespective of whether the event is re-run, all Selections on that Event shall be deemed to be winners and the **Sport Margins** and **Sport Winners** Pools shall be divided in accordance with Rule 13.

(b) Where all Events in a **Sport Winners** or **Sport Margins** are cancelled, postponed or abandoned all investments on that **Sport Winners** or **Sport Margins** shall be refunded to the Investors.

11. **PARLAY INVESTMENTS**

11.1. Subject to other provisions within these Rules, **Parlay Investments** shall be accepted with any resultant Dividends or Refunds being re-invested in accordance with Investor's irrevocable instructions given at the time of Investment.

11.2. Investments will be accepted for Selections in the Events nominated by the Investor with instructions as determined by the **Formula Number** to transfer any resultant Dividends or Refunds from an Event to Selections in up to five subsequent Events at the same Meeting.

11.3. Under no circumstances can Investments be cancelled or changed after sales have closed for the first Event of a **Parlay Investment**.

11.4. If any Selection on a **Parlay Investment** is Scratched, the Investor's Investment will be carried forward to the next Event nominated by the Investor, or paid to the Investor if that Event is the final Event of the **Parlay Investment**.

11.5. If any Event carrying an **Parlay Investment** is cancelled, abandoned, postponed or declared a no-race (whether or not it may be re-run later in a program), Investments shall be carried forward to the next Event nominated by the Investor or paid to the Investor if that Event is the final Event of the **Parlay Investment**.

12. **DEAD HEATS**

12.1. **WIN**

Subject to Rule 16, in the event of a dead heat for first place in a **Win**:

- (a) the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
- (b) each of those parts shall be treated separately; and
- (c) Dividends shall be calculated by dividing each of those parts amongst the Investors on each backed Selection.

12.2. **PLACE**

(a) **TWO PLACE DIVIDENDS RACE**

Subject to Rules 7.1(k) and 16,

- (i) In the event of a dead heat for first place -
 - (A) the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
 - (B) each part so determined shall be treated separately; and
 - (C) Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (ii) Where two or more Selections run a dead heat for second place -
 - (A) one half of the Pool shall be divided amongst the Investors on the winner;
 - (B) one half of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
 - (C) each part determined as described in sub-paragraph (B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (iii) Where two or more Selections run a dead heat for second place and there are no Investors on the winner -
 - (A) the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
 - (B) each part so determined shall be treated separately; and
 - (C) Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.

(b) **THREE PLACE DIVIDENDS RACE**

Subject to Rules 7.1(k) and 16, if

- (i) Three or more Selections run a dead heat for first place -
 - (A) the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
 - (B) each part so determined shall be treated separately; and
 - (C) Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (ii) Two Selections run a dead heat for first place -
 - (A) one third of the Pool shall be divided amongst the Investors on one of the Selection running the dead heat;
 - (B) one third of the Pool shall be divided amongst the Investors on the other Selection running the dead heat; and
 - (C) one third of the Pool shall be divided amongst the Investors on the third placing.
- (iii) Two Selections run a dead heat for first place and only one of those Selections has been backed -
 - (A) one half of the Pool shall be divided amongst the Investors on the backed Selection running the dead heat;
 - (B) one half of the Pool shall be divided amongst the Investors on the third placing.
- (iv) Two or more Selections run a dead heat for second placing -
 - (A) one third of the Pool shall be divided amongst the Investors on the winner;
 - (B) two thirds of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
 - (C) each part determined as described in sub-paragraph (B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (v) Two or more Selections run a dead heat for second place and only one of those Selections has been backed -
 - (A) one half of the Pool shall be divided amongst the Investors on the winner; and
 - (B) one half of the Pool shall be divided amongst the Investors on the backed Selection running the dead heat.
- (vi) Two or more Selections run a dead heat for third place -

- (A) one third of the Pool shall be divided amongst the Investors on the winner;
- (B) one third of the Pool shall be divided amongst the Investors on the second placing;
- (C) one third of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;
- (D) each part determined as described in sub-paragraph (C) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.

(c) FOUR PLACE DIVIDENDS RACE

Subject to Rules 7.1(k) and 16, if

- (i) Three Selections run a dead heat for first place –
 - (A) one quarter of the Pool shall be divided amongst the Investors on each of the Selections running the dead heat;
 - (B) one quarter of the Pool shall be divided amongst the Investors on the fourth placing; and
 - (C) each part determined as described in sub-paragraph (b)(iv)(B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (ii) Three Selections run a dead heat for first place and only two of those Selections have been backed -
 - (A) one third of the Pool shall be divided amongst the Investors on each of the backed Selection running the dead heat;
 - (B) one third of the Pool shall be divided amongst the Investors on the fourth placing; and
 - (C) each part determined as described in sub-paragraph (b)(iv)(B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (iii) Three Selections run a dead heat for first place and only one of those Selections has been backed -
 - (A) one half of the Pool shall be divided amongst the Investors on the backed Selection running the dead heat;
 - (B) one half of the Pool shall be divided amongst the Investors on the fourth placing; and

(C) each part determined as described in sub-paragraph (b)(iv)(B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.

(iv) Two Selections run a dead heat for first place -

(A) one quarter of the Pool shall be divided amongst the Investors on one of the Selection running the dead heat;

(B) one quarter of the Pool shall be divided amongst the Investors on the other Selection running the dead heat;

(C) one quarter of the Pool shall be divided amongst the Investors on the third placing; and

(D) one quarter of the Pool shall be divided amongst the Investors on the fourth placing.

(v) Two Selections run a dead heat for first place and only one of those Selections has been backed -

(A) one third of the Pool shall be divided amongst the Investors on the backed Selection running the dead heat;

(B) one third of the Pool shall be divided amongst the Investors on the third placing; and

(C) one third of the Pool shall be divided amongst the Investors on the fourth placing.

(vi) Three Selections run a dead heat for second placing -

(A) one quarter of the Pool shall be divided amongst the Investors on the winner;

(B) three quarters of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat; and

(C) each part determined as described in sub-paragraph (b)(iv)(B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.

(vii) Two Selections run a dead heat for second placing -

(A) one quarter of the Pool shall be divided amongst the Investors on the winner;

(B) one half of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat;

- (C) one quarter of the Pool shall be divided amongst the Investors on the fourth placing; and
 - (D) each part determined as described in sub-paragraph (b)(iv)(B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (viii) Two Selections run a dead heat for second place and only one of those Selections has been backed -
 - (A) one third of the Pool shall be divided amongst the Investors on the winner;
 - (B) one third of the Pool shall be divided amongst the Investors on the backed Selection running the dead heat;
 - (C) one third of the Pool shall be divided amongst the Investors on the fourth placing; and
 - (D) each part determined as described in sub-paragraph (B) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (ix) Two or more Selections run a dead heat for third place -
 - (A) one quarter of the Pool shall be divided amongst the Investors on the winner;
 - (B) one quarter of the Pool shall be divided amongst the Investors on the second placing;
 - (C) one half of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat; and
 - (D) each part determined as described in sub-paragraph (C) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.
- (x) Two or more Selections run a dead heat for fourth place -
 - (A) one quarter of the Pool shall be divided amongst the Investors on the winner;
 - (B) one quarter of the Pool shall be divided amongst the Investors on the second placing;
 - (C) one quarter of the Pool shall be divided amongst the Investors on the third placing;
 - (D) one quarter of the Pool shall be divided into as many equal parts as there are backed Selections running the dead heat; and

- (E) each part determined as described in sub-paragraph (D) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on that backed Selection.

12.3. **QUINELLA**

Subject to Rule 16, where as a result of a dead heat in any Event in a Quinella Investors on two or more combinations become entitled to a Dividend_

- (a) the Pool shall be divided into as many equal parts as there are combinations running the dead heat;
- (b) each part so determined shall be treated separately;
- (c) dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (d) each part allotted to an unbacked combination is transferred to the Quinella jackpot pool conducted on the Succeeding Quinella Event.

12.4. **DOUBLE**

Subject to Rule 16, where as the result of a dead heat in any Event in a **Double** Investors on two or more combinations become entitled to a Dividend-

- (a) the Pool shall be divided into as many equal parts as there are combinations running the dead heat;
- (b) each part so determined shall be treated separately;
- (c) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (d) each part allotted to an unbacked combination is transferred to the Double jackpot pool conducted on the Succeeding Double Event.

12.5. **TRIFECTA**

Subject to Rule 16, where as the result of a dead heat in any Event in a **Trifecta** Investors on two or more combinations become entitled to a Dividend -

- (a) the Pool shall be divided into as many equal parts as there are combinations running the dead heat;
- (b) each part so determined shall be treated separately;
- (c) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and

- (d) each unbacked combination is transferred to the Trifecta jackpot pool conducted on the Succeeding Trifecta Event, excluding Pool Guarantee Shortfall.

12.6. **QUADDIE**

Subject to Rule 16:

- (a) Subject to Rule 12.6(b), where as the result of a dead heat in any Event in a **Quaddie** Investors on two or more combinations become entitled to a Dividend -

- (i) the Pool shall be divided into as many equal parts as there are combinations;
- (ii) each part so determined shall be treated separately;
- (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (iv) each unbacked combination is transferred to the Quaddie jackpot pool conducted on the Succeeding Quaddie, excluding Pool Guarantee Shortfall.

- (b) Where in an Event a dead heat occurs which results in there being more than eight winning combinations in a **Quaddie** for the purpose of the declaration of Dividends -

- (i) that Event and any subsequent Event in that **Quaddie** shall not be taken into account;
- (ii) the Pool shall be divided into as many equal parts as there are winning combinations in the Events being taken into account;
- (iii) each part so determined shall be treated separately;
- (iv) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (v) each unbacked combination is transferred to the Quaddie jackpot pool conducted on the Succeeding Quaddie, excluding Pool Guarantee Shortfall.

12.7. **EXACTA**

Subject to Rule 16, where as the result of a dead heat in any Event in an Exacta Investors on two or more combinations become entitled to a Dividend -

- (a) the Pool shall be divided into as many equal parts as there are combinations running the dead heat;
- (b) each part so determined shall be treated separately;

- (c) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (d) each part allotted to an unbacked combination is transferred to the Exacta jackpot pool conducted on the Succeeding Exacta Event.

12.8. **SPORT WIN**

Where as the result of a draw in any Event in a **Sport Win** Investors on two Selections become entitled to a Dividend –

- (a) the Pool shall be divided into two equal parts;
- (b) each part so determined shall be treated separately; and
- (c) Dividends shall be calculated by dividing each part amongst the Investors on each backed Selection.

12.9. **DUET**

Subject to Rules 7.1(k) and 16, if

- (a) three or more Selections run a dead heat for first place the Pool shall be divided amongst the Investors on the combinations of any two of the Selections running the dead heat;
- (b) three or more Selections run a dead heat for first place and one or more of the combinations referred to in Rule 12.9(a) is not backed-
 - (i) the Pool shall be divided into as many equal parts as there are combinations of any two of the Selections running the dead heat;
 - (ii) each part so determined shall be treated separately;
 - (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.
- (c) two Selections run a dead heat for first place-
 - (i) one third of the Pool shall be divided amongst the Investors on the combination of the two Selections running the dead heat;
 - (ii) one third of the Pool shall be divided amongst the Investors on the combination of one of the Selections running the dead heat and the third placing; and
 - (iii) one third of the Pool shall be divided amongst the Investors on the combination of the other Selection running the dead heat and the third placing;

(d) two Selections run a dead heat for first place and one or more of the combinations referred to in Rule 12.9(c) is not backed-

- (i) the Pool shall be divided into as many equal parts as there are combinations;
- (ii) each part so determined shall be treated separately;
- (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.

(e) two Selections run a dead heat for first place and two or more Selections run a dead heat for third place:

- (i) one third of the Pool shall be divided amongst the Investors on the combination of the two Selections running the dead heat for first place;
- (ii) one third of the Pool shall be divided into as many equal parts as there are combinations of one of the Selections running the dead heat for first place and any one of the Selections running the dead heat for third place;
- (iii) one third of the Pool shall be divided into as many equal parts as there are combinations of the other Selection running the dead heat for first place and any one of the Selections running the dead heat for third place; and
- (iv) each part as described in paragraphs 12.9(e)(ii) and (iii) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on the combination of one of the winners and any one of the Selections running the dead heat for third place.

(f) two Selections run a dead heat for first place and two or more Selections run a dead heat for third place and one or more of the combinations referred to in Rule 12.9(e) is not backed

- (i) that part of the Pool shall be divided into as many equal parts as there are combinations;
- (ii) each part determined as described in paragraph (i) shall be treated separately;
- (iii) Dividends shall be calculated by dividing each such part amongst the Investors on each backed combination; and
- (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.

(g) three or more Selections run a dead heat for second place

- (i) two thirds of the Pool shall be divided amongst the Investors on the combination of the winner and any one of the Selections running the dead heat;
 - (ii) one third of the Pool shall be divided into as many equal parts as there are combinations of any two of the Selections running the dead heat; and
 - (iii) each part as described in paragraph (ii) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on the combination of any two of the Selections running the dead heat.
- (h) three or more Selections run a dead heat for second place and one or more of the combinations referred to in Rule 12.9(g) is not backed-
- (i) that part of the Pool shall be divided into as many equal parts as there are combinations;
 - (ii) each part so determined shall be treated separately;
 - (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.
- (i) two Selections run a dead heat for second place
- (i) one third of the Pool shall be divided amongst the Investors on the combination of the winner and one of the two Selections running the dead heat;
 - (ii) one third of the Pool shall be divided amongst the Investors on the combination of the winner and the other Selection running the dead heat; and
 - (iii) one third of the Pool shall be divided amongst the Investors on the combination of the two Selections running the dead heat.
- (j) two Selections run a dead heat for second place and one or more of the combinations referred to in Rule 12.9(i) is not backed-
- (i) the Pool shall be divided into as many equal parts as there are combinations;
 - (ii) each part so determined shall be treated separately;
 - (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.

- (k) two or more Selections run a dead heat for third place
- (i) one third of the Pool shall be divided amongst the Investors on the combination of the winner and the second placing;
- (ii) one third of the Pool shall be divided into as many equal parts as there are combinations of the winner and any one of Selections running the dead heat;
- (iii) one third of the Pool shall be divided into as many equal parts as there are combinations of the second placing and any one of the Selections running the dead heat; and
- (iv) each part as described in paragraphs (ii) and (iii) shall be treated separately and Dividends shall be calculated by dividing each part amongst the Investors on the combination of the winner or the second placing as the case may be and any one of the Selections running the dead heat.
- (l) two or more Selections run a dead heat for third place and one or more of the combinations referred to in Rule 12.9(k) is not backed-
 - (i) that part of the Pool shall be divided into as many equal parts as there are combinations;
 - (ii) each part determined as described in paragraph (i) shall be treated separately;
 - (iii) Dividends shall be calculated by dividing each such part amongst the Investors on each backed combination; and
 - (iv) each part allotted to an unbacked combination is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event.
- (m) the whole of the Duet pool is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event if none of the various alternative combinations referred to in this Rule 12.9 have been selected.

12.10. **FIRST 4**

Subject to Rule 16:

- (a) Subject to 12.10(b), where as the result of a dead heat in any Event in a **First 4** Investors on two or more combinations become entitled to a dividend –
 - (i) the Pool shall be divided into as many equal parts as there are winning combinations running the dead heat;
 - (ii) each part so determined shall be treated separately; and
 - (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and

- (iv) each unbacked combination is transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event, excluding Pool Guarantee Shortfall.
- (b) Where a dead-heat occurs which results in there being more than twelve winning combinations in a First 4, for the purpose of the declaration of Dividends -
 - (i) all placings that result in there being more than twelve winning combinations in that First 4 shall not be taken into account;
 - (ii) the Pool shall be divided into as many equal parts as there are winning combinations in the placings being taken into account;
 - (iii) each part so determined shall be treated separately;
 - (iv) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (v) each unbacked combination is transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event, excluding Pool Guarantee Shortfall.

12.11. **BIG6**

- (a) Where as a result of a dead heat in any Event in a **BIG6**, Investors on two or more combinations become entitled to a Major Dividend:
 - (i) the Major dividend pool as described in Rule 15 shall be divided into as many equal parts as there are combinations;
 - (ii) each part so determined shall be treated separately;
 - (iii) Major Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and,
 - (iv) each unbacked combination shall be transferred to the **BIG6** jackpot pool conducted on the Succeeding **BIG6**, excluding Pool Guarantee Shortfall.
- (b) Where as the result of a dead heat in any Event in a **BIG6**, Investors on two or more combinations become entitled to a Supplementary Dividend, the Supplementary Dividend shall be calculated by dividing the Supplementary dividend pool equally amongst the Investors on each backed combination.

12.12. **TRIO**

Subject to Rule 16, where as the result of a dead heat in any Event in a **Trio** Investors on two or more combinations become entitled to a Dividend -

- (a) the Pool shall be divided into as many equal parts as there are combinations running the dead heat;

- (b) each part so determined shall be treated separately;
- (c) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
- (d) each unbacked combination is transferred to the Trio jackpot pool conducted on the Succeeding Trio Event.

12.13. **TREBLE**

Subject to Rule 16,

- (a) Subject to 12.13(b), where as the result of a dead heat in any Event in a Treble Investors on two or more combinations become entitled to a Dividend -
 - (i) the Pool shall be divided into as many equal parts as there are combinations;
 - (ii) each part so determined shall be treated separately;
 - (iii) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (iv) each unbacked combination is transferred to the Treble jackpot pool conducted on the Succeeding Treble, excluding Pool Guarantee Shortfall.
- (b) Where in an Event a dead heat occurs which results in there being more than eight winning combinations in a Treble for the purpose of the declaration of Dividends -
 - (i) that Event and any subsequent Event in that **Treble** shall not be taken into account;
 - (ii) the Pool shall be divided into as many equal parts as there are winning combinations in the Events being taken into account;
 - (iii) each part so determined shall be treated separately;
 - (iv) Dividends shall be calculated by dividing each part amongst the Investors on each backed combination; and
 - (v) each unbacked combination is transferred to the Treble jackpot pool conducted on the Succeeding Treble, excluding Pool Guarantee Shortfall.

13. **JACKPOTS AND COUNTBACKS**

For the purposes of this Rule 13 a draw Selection in a Sport Double, Sport Xtra Double, Sport Half/Full Double, Sport Half/Full Xtra Double, Sport Quad or Sport Quarter Quad is not a Score Range for the purposes of conducting countbacks.

13.1. **NO INVESTMENT ON WINNER**

- (a) If no Investor has Selected the winner in a **Win**, the Pool shall be refunded to the Investors.
- (b) If no Investor has Selected the correct Selection in a Sport **Win**, the Pool shall be refunded to the Investors.

13.2. **JACKPOTS**

- (a) Subject to the exceptions contained in this Rule 13.2 and unless otherwise directed by the Regulator in the event of there being no Investor on the appropriate Selections for Sport Quad, Sport Quarter Quad, Quaddie, Sport Double and Sport Xtra Double, Sport Half /Full Double and Sport Half /Full Xtra Double, Sport Margins, the various dividend levels of BIG6 set out in Rule 15 which form the total pool, the individual dividends of Duet, Quinella, Exacta, Double, Treble, Trio, Trifecta or First 4 the Pool may be added to a subsequent Pool of the same type. In the case of BIG6 any addition to a subsequent Pool shall be to the Major Dividend of such pool for BIG6.
- (b) In the case of the Quinella, Exacta, Duet, Trio, Trifecta, First 4, Double, Treble, Quaddie and BIG6 Jackpots are subject to the following:
 - (i) For Daily Double, Feature Double, Treble and Quaddie, the Betting Operator may at its discretion transfer a Jackpot Amount to any Event within the respective code across any meeting class.
 - (ii) For Quinella, Exacta, Duet, Trio, Trifecta and First 4, the Betting Operator may at its discretion transfer a Jackpot amount to any Event within the respective code across any meeting class.
 - (iii) For BIG6, the Betting Operator may at its discretion transfer a Jackpot Amount to any BIG6 Event across any code and any meeting class.
 - (iv) For Running Double, the Betting Operator may at its discretion transfer a Jackpot amount to any Event within the respective code across any meeting class.
- (c) If a Jackpot is to be used for the First Four, Treble, Quaddie or BIG6 Jackpot allocations, the Betting Operator will advise investors prior to the commencement of betting on the event.

13.2.1. **SPORT QUAD**

At the discretion of the Betting Operator the Pool may not be transferred to a subsequent Sport Quad Pool, but may instead be divided amongst the Investors on the following combinations specified in order of precedence:

- (a) any three correct Selections and any other Winning Team;
- (b) any two correct Selections and any other two Winning Teams;
- (c) any one correct Selection and any other three Winning Teams;
- (d) any four Winning Teams;

and, if none of these alternative combinations has been selected, the Pool shall be refunded to the Investors on the **Sport Quad** at the Meeting where these combinations have not been selected.

13.2.2. **SPORT QUARTER QUAD**

At the discretion of the Betting Operator, the Pool may not be transferred to a subsequent **Sport Quarter Quad** Pool, but may instead be divided amongst the Investors who have nominated the following combinations specified in order of precedence -

- (a) the three correct Selections at the end of each of the first three quarters, and the Winning Team;
- (b) the three correct Selections at the end of each of the first three quarters and any Selection in the final quarter;
- (c) the two correct Selections at the end of each of the first two quarters, and the Winning Team;
- (d) the correct Selection at the end of the first quarter, and the Winning Team;

and, if none of these alternative combinations has been selected, the Pool shall be refunded to the Investors on the **Sport Quarter Quad** at the Meeting where these combinations have not been selected.

13.2.3. **SPORT DOUBLE AND SPORT XTRA DOUBLE**

At the discretion of the Betting Operator, the Pool may not be transferred to a subsequent **Sport Double or Sport Xtra Double** Pool, but may instead be divided amongst the Investors who have nominated the following combinations specified in order of precedence:

- (d) any Selection comprising the Winning Team and the Score Range or Ranges nearest to the correct Score Range in the Event specified as the first Event by the Betting Operator, and the Winning Team with the correct Score Range or Draw Selection as applicable in the Event specified as the second Event by the Betting Operator;

(e) any Selection comprising the Winning Team and the Score Range or Ranges nearest to the correct Score Range in the Event specified as the second Event by the Betting Operator, and the Winning Team with the correct Score Range or draw Selection as applicable in the Event specified as the first Event by the Betting Operator;

and, if none of these alternative combinations has been selected the Pool shall be refunded to the Investors on the **Sport Double and Sport Xtra Double** at the Meeting where these combinations have not been selected.

13.2.4. **SPORT HALF /FULL DOUBLE AND SPORT HALF /FULL XTRA DOUBLE**

At the discretion of the Betting Operator, the Pool may not be transferred to a subsequent **Sport Half /Full Double or Sport Half /Full Xtra Double** Pool, but may instead be divided amongst the Investors who have nominated the following combinations specified in order of precedence:

(f) the Leading Team at half time and the Score Range or ranges nearest to the correct Score Range in the first half and the Winning Team with the correct Score Range or draw Selection as applicable in the second half;

(g) the Winning Team and the Score Range or ranges nearest to the correct Score Range in the second half and the Leading Team with the correct Score Range or draw Selection as appropriate in the first half;

and, if no Investor selects any of the combinations referred to in the previous sub-paragraphs, the Pool will be refunded to the Investors on the **Sport Half /Full Double and Sport Half /Full Xtra Double** at the Meeting where these combinations have not been selected.

13.2.5. **SPORT MARGINS**

At the discretion of the Betting Operator, the Pool may not be transferred to a subsequent **Sport Margins** Pool, but may instead be divided amongst the Investors who have nominated winning selections in the most number of Events.

13.3. The Betting Operator shall use reasonable endeavours to notify Investors if it exercises the discretion contained in Rules 13.2.1 to 13.2.5 to divide any of the various Pools according to the order of precedence set out in those Rules.

13.4. For the purpose of these Rules:

“Succeeding Quinella Event” means, in relation to a Quinella Event (“Initial Quinella Event”):

(i) at the discretion of the betting operator, the next Quinella Event (if any) conducted at the same Meeting as the Initial Quinella Event;

or if there is none, or at the discretion of the betting operator

- (ii) a Quinella Event at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii).

“Succeeding Exacta Event” means, in relation to an Exacta Event (“Initial Exacta Event”):

- (i) at the discretion of the betting operator, the next Exacta Event (if any) conducted at the same Meeting as the Initial Exacta Event;

or if there is none, or at the discretion of the betting operator

- (ii) an Exacta Event at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii).

“Succeeding Duet Event” means, in relation to a Duet Event (“Initial Duet Event”):

- (i) at the discretion of the betting operator, the next Duet Event (if any) conducted at the same Meeting as the Initial Duet Event;

or if there is none, or at the discretion of the betting operator

- (ii) a Duet Event at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii).

“Succeeding Double” means:

- (a) in relation to a Running Double (“Initial Running Double”):
 - (i) at the discretion of the betting operator, the next Running Double (if any) conducted at the same Meeting as the Initial Running Double;

or if there is none, or at the discretion of the betting operator

- (ii) a Running Double at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(iv).
- (b) in relation to a Daily Double (“Initial Daily Double”) the next Daily Double at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(i).
- (c) in relation to a Feature Double (“Initial Feature Double”) the next Feature Double selected by the Betting Operator in accordance with Rule 13.2(c)(i).

“Succeeding First 4 Event” means, in relation to a First 4 Event (“Initial First 4 Event”):

- (i) at the discretion of the betting operator, the next First 4 Event (if any) conducted at the same Meeting as the Initial First 4 Event;
- (ii) a First 4 Event at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii).

“Succeeding Quaddie” means in relation to a Quaddie (“Initial Quaddie”) the next Quaddie at a Meeting selected by the Betting Operator in

accordance with Rule 13.2(c)(i).

“Succeeding Treble” means in relation to a Treble the next Treble at a Meeting selected by the Betting Operator in accordance with Rule 13.2(c)(i).

“Succeeding Trio Event” means, in relation to a Trio Event (“Initial Trio Event”):

- (i) at the discretion of the betting operator, the next Trio Event (if any) conducted at the same Meeting as the Initial Trio Event;

or if there is none, or at the discretion of the betting operator

- (ii) a Trio Event at a meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii).

“Succeeding Trifecta Event” means, in relation to a Trifecta Event (“Initial Trifecta Event”):

- (i) at the discretion of the betting operator, the next Trifecta Event (if any) conducted at the same Meeting as the Initial Trifecta Event;

or if there is none, or at the discretion of the betting operator

- (ii) a Trifecta Event at a Meeting selected by the Betting Operator in accordance with Rule 13.2(c)(ii);

“Succeeding BIG6” means in relation to a **BIG6** (“Initial **BIG6**”) the next **BIG6** at a Meeting selected by the Betting Operator in accordance with Rule 13.2(c)(iii).

13.5. For each Trifecta Pool there shall be:

- (i) a Trifecta jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.5, 13.2 or 14.3, are required to be transferred to the Trifecta jackpot pool for that Event;
- (ii) a Trifecta dividend pool into which must be paid:
 - (A) Trifecta Investments on that Event after the deduction of Commission and any Refunds; and
 - (B) the amount (if any) transferred to the Trifecta jackpot pool for that Event; and
 - (C) the Pool Guarantee Shortfall (if any) for the Trifecta pool for that Event.

13.6. For each Quaddie Pool there shall be:

- (i) a Quaddie jackpot pool into which must be paid any amount which, under Rules 7.3(ii), 12.6 or 13.2 are required to be transferred to the Quaddie jackpot pool;
- (ii) a Quaddie dividend pool into which must be paid:
 - (A) Quaddie Investments for that Quaddie after the deduction of Commission and any Refunds; and
 - (B) the amount (if any) transferred to the Quaddie jackpot pool for that Quaddie; and
 - (C) the Pool Guarantee Shortfall (if any) for the Quaddie pool for that Quaddie.

13.7. For each First 4 Pool there shall be:

- (i) a First 4 jackpot pool into which must be paid any amount which, under Rules 7.3(ii), 12.10, 13.2 or 14.5, are required to be transferred to the First 4 jackpot pool;
- (ii) a First 4 dividend pool into which must be paid:
 - (A) First 4 Investments for that First 4 after the deduction of Commission and any Refunds; and
 - (B) the amount (if any) transferred to the First 4 jackpot pool for that First 4; and
 - (C) the Pool Guarantee Shortfall (if any) for the First 4 pool for that Event.

13.8. For each BIG6 Pool, there shall be:

- (i) a BIG6 jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.11 or 13.2 are required to be transferred to the BIG6 jackpot pool;
- (ii) a BIG6 dividend pool into which must be paid:
 - (A) BIG6 investments for that BIG6 after the deduction of Commission and any refunds;
 - (B) the amount (if any) transferred to the BIG6 jackpot pool for that BIG6; and
 - (C) the Pool Guarantee Shortfall (if any) for the BIG6 pool for that BIG6.

13.9. For each Quinella Pool, there shall be:

- (i) a Quinella jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.3, 13.2 or 14.1 are required to be transferred to the Quinella jackpot pool for that Event;
- (ii) a Quinella dividend pool into which must be paid:
 - (A) Quinella investments on that Event after the deduction of Commission and any refunds; and
 - (B) the amount (if any) transferred to the Quinella jackpot pool for that Event.

13.10. For each Exacta Pool, there shall be:

- (i) an Exacta jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.7, 13.2 or 14.2 are required to be transferred to the Exacta jackpot pool for that Event;
- (ii) an Exacta dividend pool into which must be paid:
 - (A) Exacta investments on that Event after the deduction of Commission and any refunds; and
 - (B) the amount (if any) transferred to the Exacta jackpot pool for that Event.

13.11. For each Duet Pool, there shall be:

- (i) a Duet jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.9, 13.2 or 14.4 are required to be transferred to the Duet jackpot pool for that Event;
- (ii) a Duet dividend pool into which must be paid:
 - (A) Duet investments on that Event after the deduction of Commission and any refunds; and
 - (B) the amount (if any) transferred to the Duet jackpot pool for that Event.

13.12. For each Double Pool, there shall be:

- (i) a Double jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.4 or 13.2 are required to be transferred to the Double jackpot pool for that Event;
- (ii) a Double dividend pool into which must be paid:
 - (A) Double investments on that Event after the deduction of Commission and any refunds; and

- (B) the amount (if any) transferred to the Double jackpot pool for that Event.

13.13. For each Trio Pool, there shall be:

- (i) a Trio jackpot pool into which must be paid any amounts which, under Rules 7.3(ii), 12.12, 13.2 or 14.6 are required to be transferred to the Trio jackpot pool for that Event;
- (ii) a Trio dividend pool into which must be paid:
 - (A) Trio investments on that Event after the deduction of Commission and any refunds; and
 - (B) the amount (if any) transferred to the Trio jackpot pool for that Event.

13.14. For each Treble Pool there shall be:

- (i) a Treble jackpot pool into which must be paid any amount which, under Rule 7.3(ii), 12.13 or 13.2 are required to be transferred to the Treble jackpot pool;
- (ii) a Treble dividend pool into which must be paid:
 - (A) Treble Investments for that Treble after the deduction of Commission and any Refunds; and
 - (B) the amount (if any) transferred to the Treble jackpot pool for that Treble; and
 - (C) the Pool Guarantee Shortfall (if any) for the Treble pool for that Treble.

13.15. **COUNTBACKS**

In the event of there being no Investor on the appropriate Selections for the Place and Sport Winners the alternative combinations in order of precedence for the distribution of the Pool shall be:

13.15.1 PLACE

If one or more of the placed Selections has not been backed, the Pool shall be divided into equal parts amongst the Investors on each of the placed Selections which have been backed;

and the Pool shall be refunded to the Investors if no winning combinations have been Selected.

13.15.2 SPORT WINNERS

- (i) Winning selections in the most number of Events.

14. DECLARATION OF LESS THAN THREE PLACINGS

14.1. QUINELLA

If:

- (a) one winner only is declared; and
- (b) no second or third placing is declared;
- (i) the Quinella jackpot pool for that Event is transferred to the Quinella jackpot pool conducted on the Succeeding Quinella Event; and
- (ii) the remainder of the Quinella dividend Pool shall be divided amongst the Investors on the combination of the winner and any other Selection in the Event irrespective of order.

14.2. EXACTA

If:

- (a) one winner only is declared; and
- (b) no second or third placing is declared;
- (i) the Exacta jackpot pool for that Event is transferred to the Exacta jackpot pool conducted on the Succeeding Exacta Event; and
- (ii) the remainder of the Exacta dividend Pool shall be divided amongst the Investors on the combination of the winner and any other Selection in the Event irrespective of order.

14.3. TRIFECTA

- (a) if only two placings are declared:
 - (i) the Trifecta jackpot pool for that Event is transferred to the Trifecta jackpot pool conducted on the Succeeding Trifecta Event; and
 - (ii) the Betting Operator is not liable for any Pool Guarantee Shortfall for the Trifecta pool; and
 - (iii) the remainder of the Trifecta dividend pool shall be divided amongst the Investors, on the correct combination of the two placed Selections with any other Selection in the Event;
- (b) if only a winner is declared:

- (i) the Trifecta jackpot pool for that Event is transferred to the Trifecta jackpot pool conducted on the Succeeding Trifecta Event; and
- (ii) the Betting Operator is not liable for any Pool Guarantee Shortfall for the Trifecta pool; and
- (iii) the remainder of the Trifecta dividend pool shall be divided amongst the Investors, on the correct combination of the winner and any other two Selections in the Event;

and the remainder of the Trifecta dividend pool shall be transferred to the Trifecta jackpot pool conducted on the Succeeding Trifecta Event if these alternative combinations have also not been Selected.

14.4. **DUET**

- (a) If only two placings are declared:
 - (i) the Duet jackpot pool for that Event is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event; and
 - (ii) the remainder of the Duet dividend Pool shall be divided amongst the Investors on that combination.
- (b) If only a winner is declared:
 - (i) the Duet jackpot pool for that Event is transferred to the Duet jackpot pool conducted on the Succeeding Duet Event; and
 - (ii) the remainder of the Duet dividend Pool shall be divided amongst the Investors on the combination of the winner and any other Selection in the Event irrespective of order;

and the remainder of the Duet dividend Pool shall transferred to the Duet jackpot pool conducted on the Succeeding Duet Event if these alternative combinations have not been selected.

14.5. **FIRST 4**

- (a) If only three placings are declared:
 - (i) the First 4 jackpot pool for that Event is transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event; and
 - (ii) the Betting Operator is not liable for any Pool Guarantee Shortfall for the First 4 pool; and
 - (iii) the remainder of the First 4 Pool shall be divided amongst the Investors on the correct combination of the three placed Selections with any other Selection in the Event.
- (b) If only two placings are declared:

- (i) the First 4 jackpot pool for that Event is transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event; and
 - (ii) the Betting Operator is not liable for any Pool Guarantee Shortfall for the First 4 pool; and
 - (iii) the remainder of the First 4 Pool shall be divided amongst the Investors on the correct combination of the two placed Selections with any other two Selections in the Event;
- (c) If only a winner is declared:
- (i) the First 4 jackpot pool for that Event is transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event; and
 - (ii) the Betting Operator is not liable for any Pool Guarantee Shortfall for the First 4 pool; and
 - (iii) the remainder of the First 4 Pool shall be divided amongst the Investors on the correct combination of the winner and any other three Selections in the Event;

and the remainder of First 4 dividend pool shall be transferred to the First 4 jackpot pool conducted on the Succeeding First 4 Event if these alternative combinations have also not been Selected.

14.6. **TRIO**

- (a) if only two placings are declared:
- (i) the Trio jackpot pool for that Event is transferred to the Trio jackpot pool conducted on the Succeeding Trio Event; and
 - (ii) the remainder of the Trio dividend pool shall be divided amongst the Investors, on any order combination of the two placed Selections with any other Selection in the Event;
- (b) if only a winner is declared:
- (i) the Trio jackpot pool for that Event is transferred to the Trio jackpot pool conducted on the Succeeding Trio Event; and
 - (ii) the remainder of the Trio dividend pool shall be divided amongst the Investors, on any order combination of the winner and any other two Selections in the Event;

and the remainder of the Trio dividend pool shall be transferred to the Trio jackpot pool conducted on the Succeeding Trio Event if these alternative combinations have also not been Selected.

15. **BIG6 DIVIDEND LEVELS**

- 15.1. Subject to Rules-15.2 and 15.3 the **BIG6** Pool shall be divided into two parts as nearly as possible in accordance with the percentages listed below:
- (a) **Major Dividend** – an Investment containing winning Selections in 6 Events: 90%
 - (b) **Supplementary Dividend** – an Investment containing winning Selections in the first 5 scheduled Events and a losing Selection in the remaining Event: 10%
- 15.2. If one or two Events in a **BIG6** are cancelled, postponed, or abandoned, 100% of the **BIG6** Pool shall be available for Major Dividends and all Selections in the affected legs shall be deemed to be winners.
- 15.3. If three or more Events in a **BIG6** are cancelled, postponed or abandoned, all Investments on that **BIG6** shall be refunded, excluding any carry-in jackpot.
- 15.4. In the event that there is no Investment containing the number of winning Selections required for Major or Supplementary Dividends as set out in Rules 15.2 and 15.3, that part of the **BIG6** Pool shall be carried forward:
- (a) if the Betting Operator has determined to transfer that part of the **BIG6** Pool to a subsequent **BIG6** Pool, to a Major Dividend of a subsequent **BIG6** Pool conducted by the Betting Operator; or
 - (b) if the Betting Operator has determined not to transfer that part of the **BIG6** Pool to a subsequent **BIG6** Pool, to the Dividend in that **BIG6** with the next lowest number of winning Selections for that Dividend.
- 15.5. BIG6 Jackpot allocations are added to the Major Dividend. Supplementary Dividends do not receive Jackpot allocations.

16. **MINIMUM DIVIDEND**

- 16.1. This Rule only applies to the following Racing Totalisator Bet Types-

Win
Place
Quinella
Exacta
Duet
Trio
Trifecta
First 4
Double
Treble
Quaddie
and to Sports Totalisators.

In this Rule

(a) “the notional dividend” means the amount calculated in the usual manner as the dividend in respect of the base unit of Investment on an Event; and

(b) “the payable dividend” means the amount to be paid as a dividend in respect of the base unit of Investment on an Event.

16.2. Subject to Rules 16.3 and 16.5 and the provisions in the Act pertaining to the calculation of dividends, the notional dividend in respect of a Pool shall be the payable dividend in respect of that Pool.

16.3. Subject to Rule 16.4, where the notional dividend in respect of a Pool:

(a) less than or equal to the base unit of Investment; or

(b) more than the base unit of Investment but less than the base unit of Investment plus 5 cents,

the payable dividend shall be 104% of the base unit of Investment.

16.4. Rule 16.3 does not apply:

(a) where 2 or more Competitors dead heat in an Event and the Pool or part of the Pool is to be divided among the Competitors that dead heat;

(b) if as a result of the application of Rule 16.3 the payable dividend in respect of one or more parts of a Pool, the total amount of dividends payable in respect of the Pool would exceed the total amount of that Pool (less any Refunds);

(c) to **Win, Place, Quinella, Exacta, Duet, Trio, Trifecta, First 4 Double, Treble and Quaddie** Bet Types if the total amount of dividends payable in respect of a Pool would exceed the amount of that Pool (less any Refunds);

(d) to the **Place** Bet Type:

(A) if the total Investments on any one of the placed Competitors in a Two Place Dividends Race is more than 50% of the total amount of that Pool (less any Refunds);

(B) if the total Investments on any one of the placed Competitors in a Three (or more) Place Dividends Race is more than 40% of the total amount of that Pool (less any Refunds);

(e) to the **Duet** Bet Type if the total Investments on any one of the combinations that are placed is more than 40% of the total amount of that Pool (less any Refunds);

(f) to Sports Totalisators; or

(g) where Rule 7.1(k) is operative.

- 16.5. In the circumstances referred to in Rule 16.4 the payable dividend shall be the notional dividend or the base unit of Investment, whichever is greater.
- 16.6. If as a result of the operation of Rules 16.3 or 16.5 there is insufficient money in the Pool for payment of the total payable dividends, the deficiency shall be paid by the Betting Operator.

17. SELECTION NUMBERS AND SCORE RANGES FOR SPORTS TOTALISATORS

The Selections and Score Ranges available for Sports Totalisators will be determined by the Betting Operator, at their discretion, and may differ across the Sport and the Bet Type. The Betting Operator will notify the Regulator in writing of the Selections and Score Ranges available for betting for each Sport and the Selections and Score Ranges must be clearly displayed to customers at the time of placing a bet. Any changes to the Selections and Score Ranges for a particular Sport will be notified to the Regulator in writing prior to those Selection and Score Ranges being made available for betting.

APPENDIX A

TOTALISATOR MAXIMUM COMMISSION DEDUCTIONS AND WIN RATES TABLE

1. Totalisator Maximum Commission Deductions and Win Rates (Return to Investor) Racing (pari-mutuel)

Bet Type	Maximum Commission Deduction (%)	Win Rate (%)
Win	25.00%	75.00
Place	25.00%	75.00
Quinella	25.00%	75.00
Duet	25.00%	75.00
Trio	25.00%	75.00
Exacta	25.00%	75.00
Trifecta	25.00%	75.00
First 4	25.00%	75.00
Daily Double	25.00%	75.00
Running Double	25.00%	75.00
Quaddie	25.00%	75.00
Treble	25.00%	75.00
BIG6	25.00%	75.00
International Pooled Bet (where event occurs outside Australia)	The lesser of 40.00% or the maximum commission applying in the pooling (host) jurisdiction	
International Pooled Bet (where event occurs inside Australia) or National Totalisator Pooled Bet	The lesser of 25.00% or the maximum commission applying in the pooling (host) jurisdiction	

*** Totalisator Win Rates (Return to Investor) Sports (AFL)**

Bet Type	Win Rate (%)
Sport Win	75.00%
Sport Double	75.00%
Sport Xtra Double	75.00%
Sport Half/Full Double	75.00%
Sport Half/Full Xtra Double	75.00%
Sport Quad	75.00%
Sport Quarter Quad	75.00%
Sport Winners	75.00%
A Sport Margins	75.00%